

# The lexicon adapts to competing communicative pressures: Explaining patterns of word similarity

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## Abstract

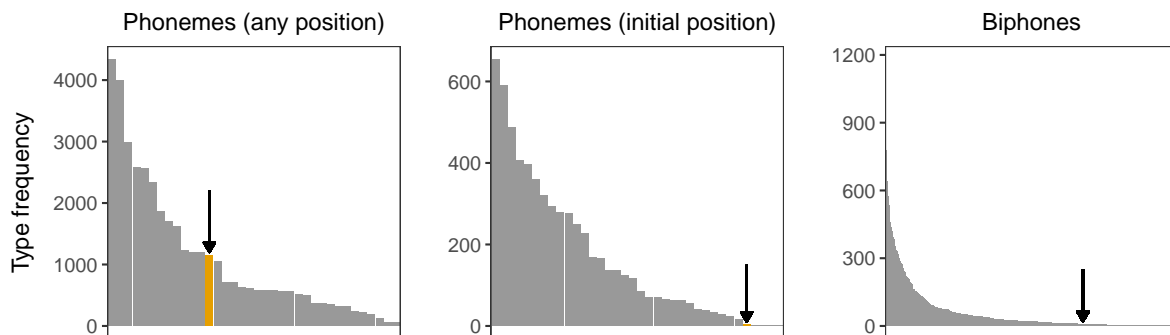
Cross-linguistically, lexicons tend to be more phonetically clustered than required by the phonotactics of the language; that is, words within a language are more similar to each other than they need to be. In this study, we investigate how this property evolves under the influence of competing communicative pressures: a production-side pressure to re-use more easily articulated sounds, and a comprehension-side pressure for distinctiveness of wordforms. In an exemplar-based computational model and a communication experiment using a miniature artificial language, we show that natural-language-like levels of clustering emerge from a trade-off between these pressures. With only one pressure at work, the resulting lexicons tend to inhabit an extreme region of the possible design space: production pressures alone give rise to maximally clustered lexicons, while comprehension pressures alone give rise to maximally disperse lexicons. We also test whether clustering emerges more strongly for high-frequency items, but our results lend support only to a weak relationship between frequency and clustering. Overall, this study adds to a growing body of evidence showing that mechanisms operating at the level of individual language users and individual episodes of communication can give rise to emergent structural properties of language.

**Keywords:** language evolution; communication; efficiency; lexicon; computational modelling; artificial language learning

# 1 Introduction

2 Different languages have different rules about how sounds can be combined to form words.  
3 For example, “zad” is an unattested but possible word of English, whereas “zbad” is both  
4 unattested and impossible (but could be a word of Polish). Naturally, the fact that these rules  
5 differ between languages means that words within a language generally sound more similar  
6 to each other than they do to words of other languages. Indeed, both infants (Juszyk et al.  
7 1993; Mehler et al. 1988; Moon et al. 1993) and adults (Lorch & Meara 1989; Marks et al. 2003;  
8 Stockmal et al. 1996) can discriminate surprisingly well between languages, even ones they  
9 don’t know.

10 Perhaps less obvious is the fact that, even within a language, possible sounds and sound  
11 combinations are not necessarily equally frequent. Figure 1 gives a sense that, while “zad” is  
12 a phonotactically legal sound sequence in English, it is perhaps not very likely to be coined  
13 as a new word: the [z] phoneme is relatively uncommon in English (especially in word-initial  
14 position), and the [zæ] biphone is extremely low-frequency. This skewed distribution is not  
15 unique to English: it is a common property across languages that not all possible sounds or  
16 sound sequences are equally frequent (Krevitt & Griffith 1972; Macklin-Cordes & Round 2020;  
17 Martindale et al. 1996). As a result, words within a language are actually more similar *to each*  
18 *other* than they really need to be. In other words, lexicons are *phonetically clustered*.



**Figure 1:** Type frequency of all phonemes and biphones of English, derived from the British National Corpus (BNC Consortium 2007) using List 1.2 (rank frequency list for the whole corpus, limited to words with a frequency of at least 100 per million) from Leech et al. (2001), converted to IPA using the `eng-to-ipa` package in Python (<https://pypi.org/project/eng-to-ipa/>). Yellow bars and arrows indicate the [z] phoneme in the left-hand and middle panels, and the [zæ] biphone in the right-hand panel. The specific identity of other phonemes/biphones is not shown on the x-axis for ease of presentation; there are 36 unique phonemes and 670 unique biphones represented in the word list. The key observation is that the shape of all these distributions is skewed: certain sounds and sound sequences are considerably more frequent than others.

19 Naively, we might expect languages to use up their available phonotactic space more uni-  
20 formly; that is, words could be evenly distributed in this space to avoid repeating sound se-  
21 quences where possible. Successful communication depends on listeners being able to perceive  
22 and interpret a speaker’s message with a high degree of accuracy. And since communication  
23 takes place over a noisy channel (Gibson et al. 2013; Levy 2008; Shannon 1948), there is always

24 a possibility that information will be lost; a lexicon that maximised the distance between words  
25 would reduce this possibility (Flemming 2004). Indeed, we know that comprehension is eas-  
26 ier when words are more distinct: in line with the Neighbourhood Activation Model (Luce &  
27 Pisoni 1998), words from sparser phonological neighbourhoods and less densely connected ar-  
28 eas of the lexical network (i.e. words that are less similar to other words) are recognised more  
29 quickly and accurately, especially in noisy conditions (Chan & Vitevitch 2009; Cluff & Luce  
30 1990; Goldinger et al. 1989; Magnuson et al. 2007; Siew & Vitevitch 2016; Vitevitch & Luce  
31 1998).

32 However, the effect of word similarity on comprehension is not completely straightfor-  
33 ward. In particular, increases in phonotactic probability (which reflects the existence of high-  
34 frequency sound sequences within a word) have been found to be beneficial for word recog-  
35 nition (Vitevitch & Luce 1998, 1999; Vitevitch et al. 1997, 1999) Furthermore, there is good  
36 evidence that spoken word *production* is facilitated by increases in both neighbourhood density  
37 *and* phonotactic probability (Chen & Mirman 2012; Gahl et al. 2012; Goldrick & Larson 2008;  
38 Goldrick & Rapp 2007; Munson 2001; Stemberger 2004; Vitevitch & Luce 1998, 2005; Vitevitch  
39 & Sommers 2003; Vitevitch et al. 2004). That is, words that are more similar to other words are  
40 generally pronounced more quickly and accurately.

41 This suggests that communication involves a complex interplay of different functional pres-  
42 sures coming from both production and perception, and taken together these do not straightfor-  
43 wardly point to an overall advantage or disadvantage of word similarity. How might language  
44 users balance these competing pressures in a way that leads to phonetically clustered lexicons?  
45 Almost 80 years ago, the linguist George Kingsley Zipf claimed that the organisational struc-  
46 ture of languages is shaped by a trade-off between a pressure for accurate communication on  
47 the one hand, and a pressure for efficiency on the other (Zipf 1949). Although this claim is most  
48 famously instantiated in the “Law of Abbreviation” — whereby more frequent words tend to  
49 be shorter — Zipf also argued that languages should preferentially re-use easy-to-articulate  
50 sounds over more difficult sounds (Zipf 1935). A related argument was made by Piantadosi  
51 et al. (2012), who suggest that an efficient communication system should re-use more easily  
52 produced words and sounds, even if doing so results in some ambiguity.

53 Of course, there are several reasons why lexicons might re-use particular sounds more than  
54 others (as in Figure 1), not all of which point to an adaptive explanation. For example, we  
55 would expect certain sounds to reoccur across many words in languages with productive mor-  
56 phology: *unkind*, *unsatisfying* and *unpleasant* all sound somewhat similar because of a shared  
57 prefix, while *tangled*, *entangle* and *disentangle* all sound extremely similar because of a shared  
58 root. Words that sound similar may also tend to have similar meanings (Dautriche et al. 2017b;  
59 Monaghan et al. 2014) or syntactic functions (Kelly 1992), although form-meaning correspon-  
60 dences are generally very subtle; phonaesthemes are a notable exception (Bergen 2004). And  
61 many words that map to distinct categories in their modern form trace their origins back to a  
62 shared ancestor; for example, *skirt* and *shirt* sound similar because they both come from the

63 Old Norse *skyrta*.

64 Naturally, phonotactic constraints are also a major source of phonetic clustering: sounds  
65 and sound sequences that can appear in more contexts will be more frequent across a lan-  
66 guage. Nonetheless, corpus analysis reveals a cross-linguistic tendency for lexicons to be *even*  
67 more clustered than required by the phonotactics of the language (Dautriche et al. 2017a). In  
68 particular, across a range of word lengths, high-frequency words tend to be more tightly clus-  
69 tered – both in terms of neighbourhood density and phonotactic probability – while lower  
70 frequency words tend to be more distinctive (Frauenfelder et al. 1993; King & Wedel 2020;  
71 Landauer & Streeter 1973; Mahowald et al. 2018; Meylan & Griffiths 2024). This pattern is  
72 suggestive of adaptation for efficient communication (Gibson et al. 2019; Jaeger & Tily 2011),  
73 since it minimises production effort for items that are produced most often, and maximises un-  
74 derstandability for low-frequency items, which are often harder to process in comprehension  
75 (Brysbaert et al. 2018). More generally, the fact that lexicons are observably less disperse than  
76 they could be suggests that, overall, the advantages associated with word similarity outweigh  
77 the disadvantages. However, corpus data alone cannot provide causal evidence of a relation-  
78 ship between particular functional pressures and the structure of language.

79 In this study, we investigate how production and comprehension pressures compete to  
80 shape the degree of phonetic clustering in the lexicon. First, we set out an agent-based compu-  
81 tational model of sound change (Section 2). In line with the psycholinguistic evidence reviewed  
82 above, we model production and comprehension pressures that pull in opposite directions. We  
83 test the prediction that natural-language-like lexicons will emerge only under the combined in-  
84 fluence of both. In particular, we test whether clustered lexicons emerge, and whether this clus-  
85 tering is found particularly for high frequency words. To further explore the role of production  
86 and comprehension in shaping the lexicon, we then model a similar process in a behavioral  
87 experiment in which human participants communicate with a partner using a miniature arti-  
88 ficial language (Section 3). To preview our results, the lexicons that emerged from our model  
89 when both production and comprehension pressures were at play were more clustered than  
90 those generated by comprehension pressures alone, but more disperse than those generated by  
91 production pressures alone. Similarly, in the experiment, manipulating the difficulty of only  
92 the production task or only the comprehension task gave rise to behaviours at one extreme or  
93 the other. When both tasks were difficult, participants adopted a variety of strategies, but over-  
94 all there was more of a balance between ease of production and ease of perception. However,  
95 the effect of frequency on emergent lexicons was less clear; there was a subtle tendency in the  
96 model for more frequent words to become more clustered, but this pattern did not robustly  
97 materialise in the experiment.

## 98 2 Computational model

99 We use an agent-based exemplar model (Nosofsky 1986; Wedel 2006) to test how mechanisms  
100 operating during individual episodes of production and comprehension might influence the  
101 degree of phonetic clustering present in a lexicon over time. In this model, pairs of agents  
102 use a miniature artificial language to communicate with each other over repeated rounds. In  
103 each communication round, agents take turns producing and interpreting signals, with some  
104 mechanisms that would be expected to favour or disfavour word similarity encoded within  
105 these processes (described in Section 2.1.3). Signals that result in successful communication are  
106 strengthened over time, while unsuccessful signals are more likely to drop out of the agents’  
107 memory. At the end of every round, we observe the state of the lexicon. The following section  
108 describes all of these components in detail; an overview is given in Figure 2. Readers wishing  
109 to skip the technical details can move on to Section 2.3 to see the results.

### 110 2.1 Details of the model

111 The model is implemented in Python 3.11; full code is available at <https://osf.io/vsy6z/>.

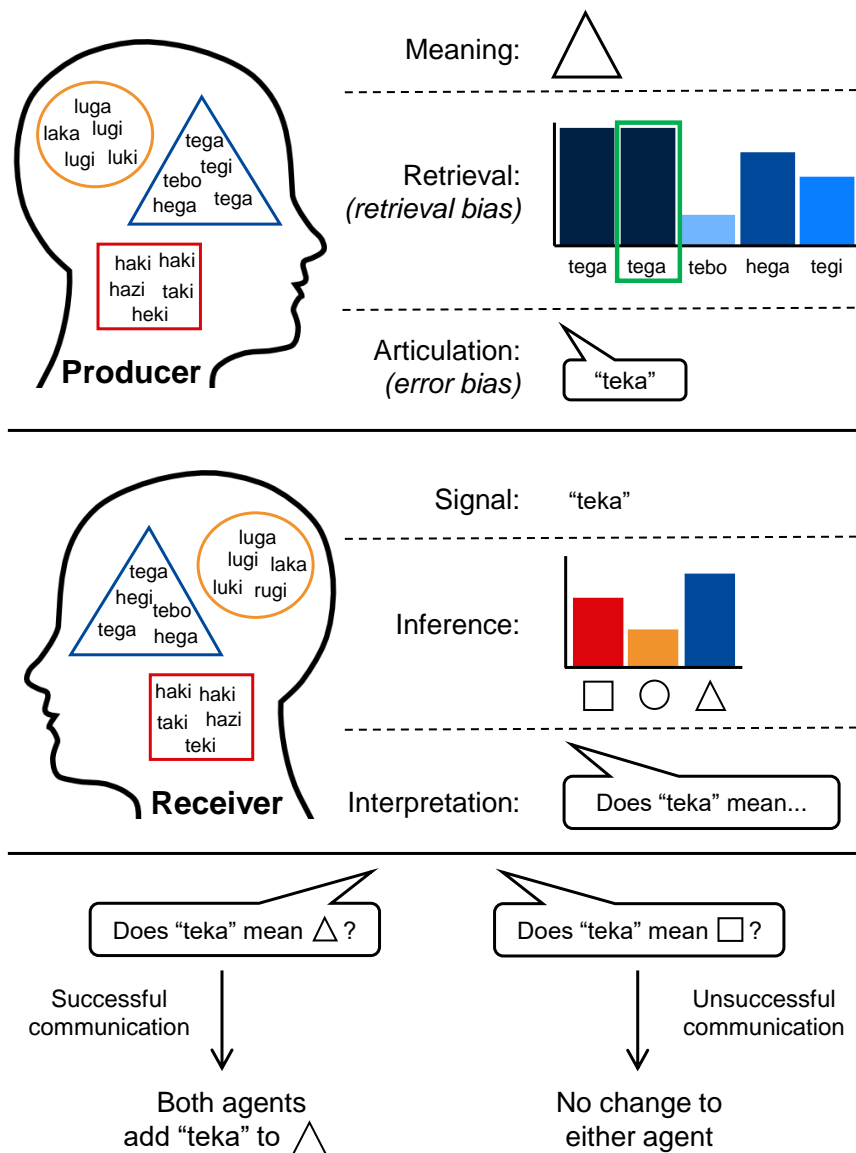
#### 112 2.1.1 The agents

113 Each agent maintains their own independent internal representation of the lexicon, based on  
114 prior evidence. An agent’s internal representation consists of 20 atomic meaning categories  
115 (represented by integers), each associated with a collection of signals. In the most basic version  
116 of the model, all meanings are equally frequent; we implement a simple frequency manipula-  
117 tion in Section 2.3.1. Each meaning category has a memory limit  $S$  (default value = 10) which  
118 constrains the number of signals that can be associated with it at any given time-point. When  
119 a new signal needs to be added to a category that is already at this limit, a random older signal  
120 is deleted first.

121 Since the model is exemplar-based, there is no abstract representation for agents to infer  
122 from the evidence they receive; rather, they store concrete exemplars of linguistic behaviour  
123 they’ve observed. As in Wedel (2012), we do not intend to make any claims about the specific  
124 nature of humans’ mental lexicons<sup>1</sup>; this architecture is simply a convenient and transparent  
125 way to capture the fact that there is always fine-grained phonetic variation below the level of  
126 “the lexicon”, and to show how this variation can provide the fodder for lexical evolution (Win-  
127 ter 2014). More specifically, while we might perceive words as having categorical boundaries,  
128 in reality, subtle variations in pronunciation mean that word boundaries are at least somewhat  
129 fuzzy, even within the same individual; different exemplars in our model can be thought of as  
130 representing this fuzziness.

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<sup>1</sup>The model could equally have been implemented in a Bayesian framework, with a compression-based prior (Kirby et al. 2015) that would favour lexicons with fewer unique sounds and sound combinations.



**Figure 2:** Overview of the model architecture for a single communication episode. Both agents maintain an independent internal representation of the lexicon in the form of meaning categories (shapes) and associated signals (exemplars). The Producer sends a signal to their partner to communicate about a target meaning, with two sources of similarity bias in this process. First, exemplars within the target meaning category are activated to different degrees depending on their phonotactic probability, meaning that exemplars that are more similar to others in the lexicon are more likely to be retrieved. Second, once an exemplar has been retrieved, there is some probability of an error being introduced into it during production; when an error is made, segments that are less frequent across the lexicon tend to be replaced by those that are more frequent. The Receiver compares the received signal to their stored exemplars to calculate a probability distribution over possible meanings, from which they sample a response; more distinctive signals give higher weight on the target meaning category relative to all other categories and are therefore more likely to result in successful communication, while signals that are more ambiguous between categories give a more uniform distribution over meanings and are therefore more likely to be misinterpreted. If the Receiver correctly infers the Producer's target meaning, both agents store the signal that was just sent as a new exemplar in that meaning category.

131 **2.1.2 The lexicon**

132 The “words” agents store in our model are character strings. Because we are interested in how  
 133 clustering might emerge above and beyond the effects of word length (since shorter words  
 134 are, necessarily, more similar to each other than longer words), word length is a constant in  
 135 our model: all words are of length 8. For simplicity, the individual segments that make up a  
 136 word are represented simply by letters, rather than by bundles of features or some other more  
 137 phoneme-like representation (cf. Wedel 2012). Because of this simplification, it is not the case  
 138 that segments can be more or less similar to each other: two segments are either identical, or  
 139 they are different. Although this makes comparisons between words less nuanced, it is a rea-  
 140 sonable simplification to improve model tractability, particularly given the lack of evidence that  
 141 natural language lexicons are more clustered around highly distinctive contrasts than around  
 142 more confusable contrasts (Dautriche et al. 2017a).

143 At the start of each run of the model, we generate 20 words (one per meaning category)  
 144 by randomly combining letters from the set of English consonants. Letters are drawn from a  
 145 uniform distribution, meaning that there is no pressure towards clustering coming from the  
 146 initial lexicons. We use these words to seed a process of exemplar creation: specifically, the  
 147 starting set of exemplars in each meaning category is a collection of  $S$  strings (where  $S$  is the  
 148 memory limit for that category), each of which is created by randomly substituting a single  
 149 character from the seed word assigned to that category. For example, if the seed word for a  
 150 category was “tam”, it could generate exemplars like “zam”, “tum”, and “tak”.

151 Although agents therefore store a considerable amount of variation in their internal repre-  
 152 sentation, we are treating exemplars as pronunciation variants of the same word, so we want  
 153 to smooth out this within-category variation when we examine the state of the lexicon. To col-  
 154 lapse an agents’ internal representation down to a single word per meaning category — the  
 155 canonical or ‘average’ form of the word — we simply concatenate the most common character  
 156 in each position across all exemplars in that category. For example, given a set of exemplars  
 157 {“miq”, “mas”, “taq”, “maq”}, this process of concatenation would yield the word “maq”,  
 158 since “m” is the most common first letter, “a” is the most common second letter, and “q” is the  
 159 most common final letter.

160 In order to analyse how the lexicon changes over time, and whether words are becoming  
 161 more or less similar to each other, we calculate the *average pairwise edit distance* between words  
 162 at each time step, including for the initial lexicon. Average pairwise edit distance,  $D(L)$ , is  
 163 given by:

$$D(L) = \frac{\sum_{i,j \in L, i \neq j} LD(i, j)}{|L| \cdot (|L| - 1)} \quad (1)$$

164 where  $L$  is the lexicon,  $|\dots|$  indicates cardinality (i.e. the number of words in  $L$ ),  $i$  and  $j$

165 are words and  $LD(i, j)$  is the Levenshtein distance between two words. That is, we calculate  
166 the edit distance between every pair of words in the lexicon, and then take the mean of these  
167 distances.

168 Because we generate the seed words randomly — so that all characters are equally likely to  
169 appear in all positions — words in the initial lexicon are always very different from each other:  
170 across 1,000 randomly generated lexicons, average pairwise edit distance had a mean value of  
171 7.54 ( $SD = 0.05$ ). In other words, in the initial lexicon, any two randomly selected words will  
172 usually differ at every position. If words are becoming more similar to each other over time,  
173 this would be reflected by a *decrease* in average pairwise edit distance.

### 174 2.1.3 Communication

175 In each communication round, agents take turns as Producer and Receiver for all meanings.  
176 The Producer’s task is to transmit a signal given a target meaning; the Receiver’s task is to  
177 decode the intended meaning given a received signal. Whenever the Receiver successfully  
178 recovers the meaning of a signal, both agents store that signal as a new exemplar in the relevant  
179 meaning category. Due to the memory limit described in Section 2.1.1, exemplars that are either  
180 not used or do not result in successful communication will tend to drop out of the agents’  
181 internal representations over time.

182 **Production** Production consists of two stages: retrieval and articulation. In both of these  
183 stages, we build in observations from the psycholinguistic literature about how word similarity  
184 benefits word production. To summarise, exemplars that are more similar to others in the  
185 agent’s internal representation are retrieved more easily (Chen & Mirman 2012; Goldrick &  
186 Larson 2008; Vitevitch 2002; Vitevitch et al. 2004), and errors in the pronunciation of a target  
187 exemplar tend to replace lower frequency segments with higher frequency ones (Dell 1986;  
188 Goldrick & Rapp 2007; Levitt & Healy 1985; Motley & Baars 1975; Munson 2001), thus creating  
189 sequences with higher phonotactic probability.

190 More specifically, production begins with the random choice of an exemplar from the tar-  
191 get meaning category, where the probability of a particular choice depends on its phonotactic  
192 probability (average bigram positional probabilities across the string); exemplars with higher  
193 phonotactic probability are more strongly activated (the *retrieval bias* parameter). Before the  
194 exemplar is transmitted to the Receiver, an error is introduced into it with probability  $E^2$ . All  
195 errors involve the substitution of a single segment in a randomly chosen position. The new

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<sup>2</sup>In the simulations presented below, we use an unrealistically high  $E$  of 0.5, which would imply that language users mispronounce words around half the time. Using a larger  $E$  does not qualitatively change the results compared to a smaller  $E$ , but does allow effects to be seen in fewer time steps, which improves runtime. In any case, the function of the error mechanism is to introduce variation that can provide the fodder for lexical evolution; similar mechanisms in related models often apply to *every* production (e.g. Flego 2022; Wedel 2012; Wedel and Fatkullin 2017).



196 segment is sampled from the set of segments in the language, where the probability of select-  
 197 ing a particular segment depends on the frequency with which it occurs in the same context as  
 198 the original segment across all exemplars in the agent’s internal representation (the *error bias*  
 199 parameter). By default, we only consider a single preceding segment when calculating condi-  
 200 tional segment frequencies; in this way, errors tend to create high-probability bigrams. We use  
 201 Laplace smoothing with parameter 0.01 to assign non-zero probability to segments that were  
 202 present in the initial lexicon but have dropped out entirely, or segments that don’t appear in  
 203 a particular bigram. We also allow “substitution” to replace a segment with itself, which can  
 204 happen when the segment targeted for error is very high-frequency in the given position; in  
 205 this way, exemplars with high phonotactic probability in the language become less likely to be  
 206 mispronounced.

207 **Reception** The final signal created by the Producer, including any error, is transmitted to the  
 208 Receiver along with a context (list of possible meanings) which they have to choose from. The  
 209 nature of this context is controlled by a *context size* parameter, which can take one of three  
 210 values: maximal (the default: all meanings in the lexicon), random ( $n$  randomly selected mean-  
 211 ings, where  $1 \leq n \leq 20$ ), or minimal ( $= 1$ )<sup>3</sup>.

212 When the Receiver hears a signal, they must infer its meaning by comparing it to all their  
 213 stored exemplars for each meaning category in the current context. If the context contains only  
 214 one meaning, the Receiver automatically assigns the signal to that meaning category. Other-  
 215 wise, the probability of recovering the intended meaning is calculated using the Generalized  
 216 Context Model (Nosofsky 1986, 2011)<sup>4</sup>, which states that the probability of classifying stimulus  
 217  $i$  into category  $c_n$  is given by:

$$P(c_n|i) = \frac{[\sum_{j \in c_n} N_j \cdot \eta_{ij}]^\gamma}{\sum_{c \in C} [\sum_{k \in c} N_k \cdot \eta_{ik}]^\gamma} \quad (2)$$

218 where  $\eta_{ij}$  denotes the similarity between exemplars  $i$  and  $j$  and  $N_j$  is the frequency of  
 219 exemplar  $j$ . The numerator is therefore simply the summed similarity score for the meaning  
 220 category under consideration, and the denominator is the sum of all similarity scores for all  
 221 meaning categories.  $\gamma$  is a response-scaling parameter which controls the Receiver’s sampling  
 222 behaviour: when  $\gamma = 1$ , the Receiver responds by sampling directly from the distribution of  
 223 relative summed similarities over all categories (i.e. probability matching), whereas for higher  
 224 values of  $\gamma$ , the Receiver responds more deterministically with the category that yields the  
 225 largest summed similarity. Similarity between exemplars  $i$  and  $j$  is itself operationalised as the

<sup>3</sup>Using the minimal context size removes comprehension pressures from the equation entirely, since the Receiver has access to full information about the Producer’s intended meaning, rendering their task trivial. A real-life analogue would be an utterance that takes place in a situation where there is only one salient possible interpretation. In our case, where communication is essentially just a process of object labelling, it could also be thought of as a Producer pointing at their intended referent.

<sup>4</sup>We exclude the category bias term used in the Generalized Context Model, since we want all categories to be equally likely *a priori*.

226 complement of the Levenshtein distance  $LD$  between the two strings, normalised by dividing  
227 by  $M$ , the length of the longer string<sup>5</sup>:

$$\eta_{ij} = 1 - \frac{LD(i, j)}{M} \quad (3)$$

228 The Receiver samples a meaning from the context using the relative similarity scores given  
229 by Equation 2 as weights. The effect of this reception mechanism is that more distinctive signals  
230 will be more likely to result in successful communication, since they will give higher weight on  
231 the target meaning category relative to all other categories. On the other hand, signals that are  
232 similar to exemplars in multiple categories will give a more uniform distribution over possible  
233 meanings, and are therefore more likely to be misinterpreted.

#### 234 2.1.4 Iteration

235 At the end of every communication round, we extract the current state of the lexicon from one  
236 of the agents (randomly chosen) and calculate its average pairwise edit distance,  $D(L)$ . A new  
237 communication round then starts; each run of the model consists of 4,000 such rounds. Note  
238 that there is no transmission of the language to naive individuals between communication  
239 rounds (cf. Kirby et al. 2015); the same pair of agents continue to communicate with each other  
240 throughout the simulation. Since there are no learning biases in this model, the only purpose  
241 of including naive agents would be to introduce a source of random drift, which is already  
242 provided by limiting our agents' memory capacity (Spike et al. 2013, 2017).

## 243 2.2 Simulations

244 We use the model to run simulations in three conditions:

- 245 • **Production pressures only:** Both the *retrieval bias* and *error bias* parameters are switched  
246 on, but *context size* is set to minimal, such that there is no inference on the Receiver's part  
247 and communication is always successful.
- 248 • **Comprehension pressures only:** *Context size* is set to maximal, requiring the Receiver to  
249 compare received signals to exemplars in all possible meaning categories to determine the  
250 Producer's intended meaning. However, both the *retrieval bias* and *error bias* parameters  
251 are switched off: all exemplars have equal probability of being retrieved for production,  
252 and errors simply replace one random segment with another random segment.
- 253 • **Competing pressures:** Both the *retrieval bias* and *error bias* parameters are switched on,  
254 and *context size* is set to maximal.

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<sup>5</sup> $M$  is a constant in this case, since all words in our model are the same length.

255 For the latter two conditions, we also test a range of different values for the Receiver’s  $\gamma$   
256 parameter (which influences how deterministically they choose the meaning category that best  
257 fits the received signal). For each configuration of parameter settings, we run 10 simulations —  
258 each with a different random input lexicon and set of starting exemplars.

## 259 **2.3 Results**

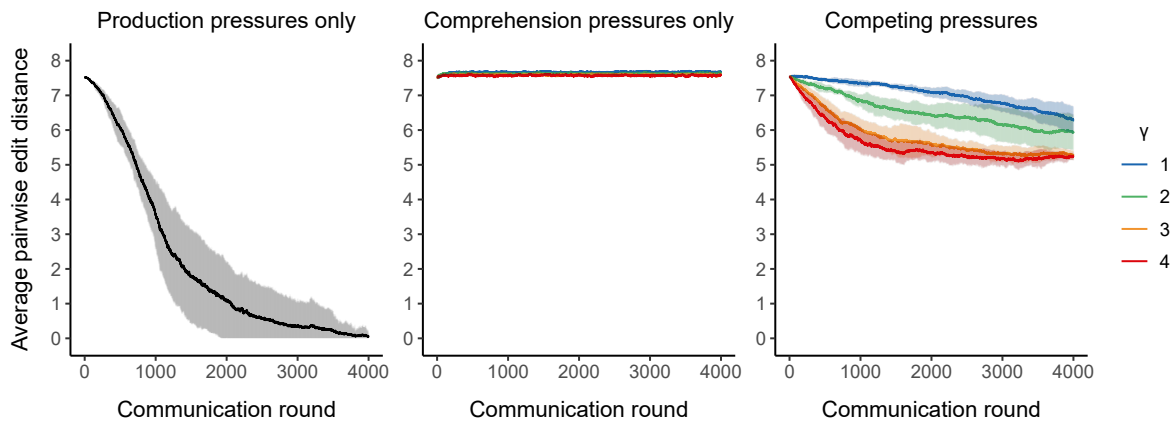
260 Recall that the measure of similarity we use here is *average pairwise edit distance*,  $D(L)$ . When av-  
261 erage pairwise edit distance is lower, it mean that words are more similar to each other. Figure  
262 3 shows the change in average pairwise edit distance over time in three conditions. When only  
263 production pressures are present, the Producer’s similarity biases completely take over: lexi-  
264 cons become rapidly more clustered, often to the point of *degeneracy* (Kirby et al. 2015), where  
265 there is just one word for every meaning ( $D(L) = 0$ ). Conversely, when comprehensibility is  
266 the only pressure on the language, lexicons remain very disperse over time.

267 When there is competition between similarity biases in production and the pressure for  
268 distinctiveness arising from communication, the result is a more balanced lexicon: words are  
269 somewhat more clustered together, but not to such an extreme degree (i.e. degeneracy) as in  
270 the production-only condition. The speed with which clustering increases depends on the  
271 strength of the comprehension-side pressure for distinctiveness, controlled by the Receiver’s  $\gamma$   
272 parameter: when  $\gamma$  is higher, the pressure for distinctiveness is weaker, which allows lexicons  
273 to change more rapidly. However, the curve eventually flattens out; this plateau can be thought  
274 of as the state in which words are as similar to each other as they can be whilst still allowing  
275 the Receiver to tell them apart with a reasonable level of accuracy.

276 Overall then, when we allow lexicons to be shaped by only one aspect of communication,  
277 the results are extreme and bear little resemblance to natural languages. Words either become  
278 so similar that they cannot be distinguished at all (production-only), or they remain totally  
279 dispersed (comprehension-only). It is only when both pressures are present — as they are in  
280 real communication — that a middle ground emerges.

### 281 **2.3.1 Adding frequency effects**

282 As described in Section 1, the degree of clustering is not the same across all parts of natural  
283 language lexicons: more frequent words tend to be more similar to each other, while lower  
284 frequency words tend to be more distinctive (Frauenfelder et al. 1993; King & Wedel 2020;  
285 Landauer & Streeter 1973; Mahowald et al. 2018; Meylan & Griffiths 2024). In the model re-  
286 sults described above this effect is of course not observable, since all meanings were equally  
287 frequent. Next, we incorporate a simple notion of frequency to test whether the effect of fre-  
288 quency emerges from the model. Specifically, we assign 5 meanings to a high-frequency group,  
289 and the other 15 to a low-frequency group. During each round, agents communicate about the

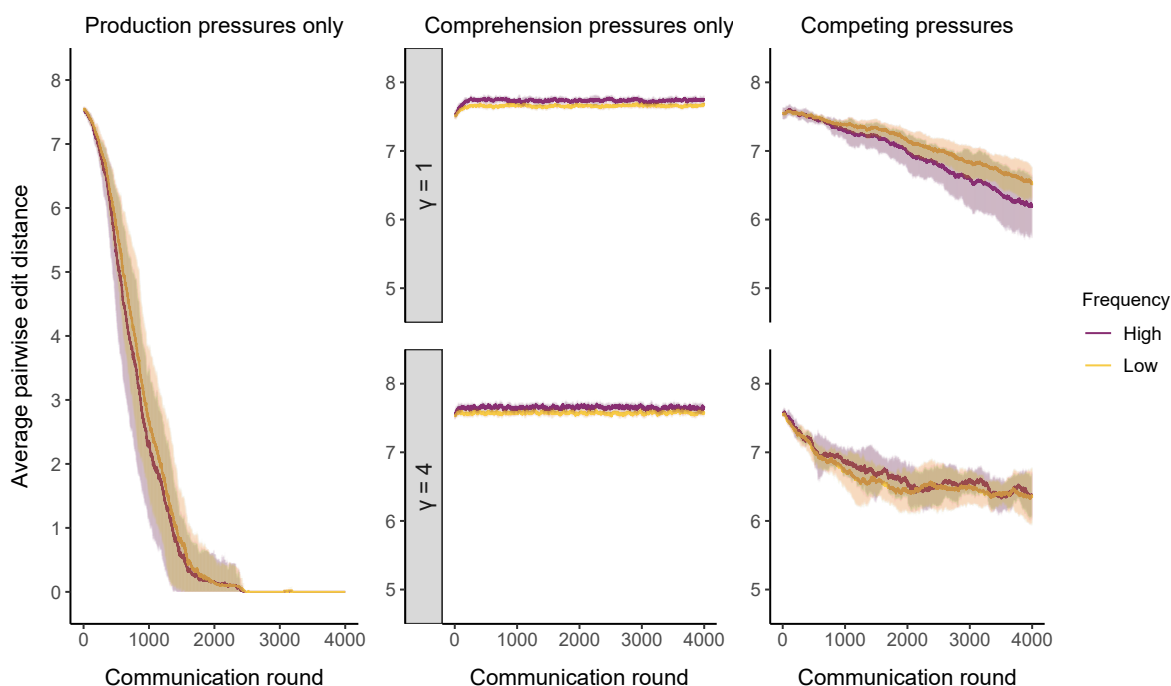


**Figure 3:** Average pairwise edit distance over 4,000 communication rounds in three conditions; lower numbers mean that words are more similar to each other. Bold lines represent the mean across 10 runs; shaded areas around these lines represent  $\pm 1$  standard deviation. Colours in the two right-hand plots represent different values of the Receiver’s  $\gamma$  parameter, which controls the strength of the comprehension-side pressure for distinctiveness; higher values correspond to a weaker distinctiveness pressure. With production pressures alone, lexicons rapidly degenerate. With comprehension pressures alone, lexicons remain in their starting state, where words are all very different from each other. Only with competition between production and comprehension pressures does an intermediate state emerge, in which lexicons become somewhat more clustered but ultimately stabilise.

290 high-frequency meanings three times as often as the low-frequency meanings (three trials per  
 291 agent per high-frequency meaning, versus one for the low-frequency meanings). Additionally,  
 292 we increase agents’ memory limit for high-frequency meanings to 30 (the memory limit for  
 293 low-frequency meanings stays at 10) to capture the fact that high-frequency lexical items have  
 294 stronger mental representations than their low-frequency counterparts (Alexandrov et al. 2011;  
 295 Popov and Reder 2020; see also the multiple-trace hypothesis: Hintzman and Block 1971). The  
 296 rest of the model architecture is identical.

297 Figure 4 shows the change in average pairwise edit distance over time in the same three  
 298 conditions as above, now additionally split by frequency. The results for the first two config-  
 299 urations look very similar as in Figure 3, with no difference between frequent and infrequent  
 300 words: lexicons remain in their starting state in the comprehension-only condition, and rapidly  
 301 degenerate in the production-only condition. However, crucially, when production and com-  
 302 prehension pressures are in competition, there is a very subtle effect of frequency. Specifically,  
 303 clustering increases slightly more on average in the high-frequency component of the lexicon,  
 304 but only when the Receiver’s  $\gamma$  parameter is low; this suggests that the benefits conferred by in-  
 305 creased frequency (due to having a stronger mental representation for higher frequency items)  
 306 are washed out when the Receiver is already very proficient at telling words apart.

307 The effect of frequency becomes more apparent if we make two further modifications to  
 308 the model architecture. First, we can modulate the strength of the producer biases such that  
 309 they are stronger for higher frequency words. For example, in the case of word length, there  
 310 is good evidence that speakers preferentially shorten high-frequency words (e.g. Bybee 2002;

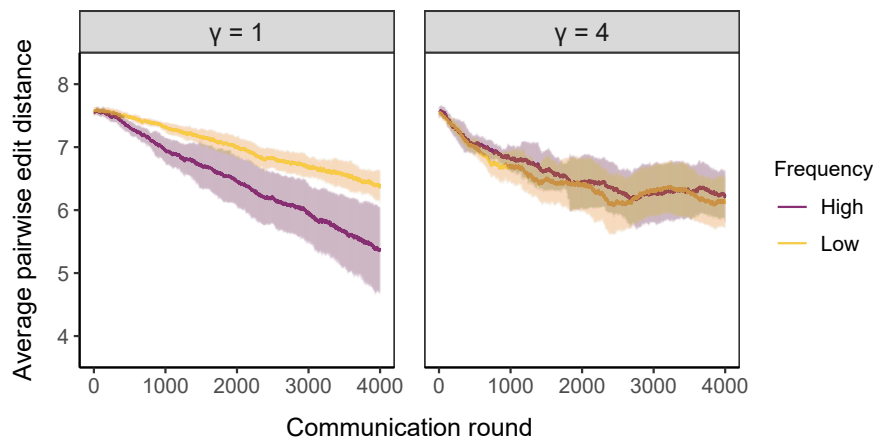


**Figure 4:** Average pairwise edit distance for the high and low-frequency components of the lexicon over 4,000 communication rounds. With only production pressures, lexicons rapidly degenerate, with no difference between frequent and infrequent words. With only comprehension pressures, both high and low-frequency words remain very distinct over time. When both production and comprehension pressures are present, a very subtle effect of frequency emerges: the high-frequency component of the lexicon becomes slightly more clustered than the low-frequency component, but only when the Receiver’s  $\gamma$  parameter is low (top).

311 Kanwal et al. 2017; Mahowald et al. 2013; Pierrehumbert 2001). We can encode a similar pref-  
 312 erence to maximise ease-of-production for high-frequency items in our model by raising the  
 313 activation values given by the Producer’s *retrieval bias* parameter (described in Section 2.1.3)  
 314 to the power of 2 when they are labelling a high-frequency meaning. This has the effect of  
 315 exaggerating the preference for exemplars with high phonotactic probability. Second, we can  
 316 treat high-frequency words as requiring less inference by the Receiver. The logic here is that  
 317 high-frequency meanings will be weighted more highly *a priori*, so if a received signal is a good  
 318 fit to a high-frequency category, the Receiver might not consider as many alternatives (note  
 319 also that high-frequency words attract more attention early in processing: Dahan et al. 2001).  
 320 We can operationalise this intuition by manipulating the *context size* parameter (described in  
 321 Section 2.1.3): for high-frequency items, the Receiver only has to choose between 5 candidate  
 322 meanings, while for low-frequency items, there are 15 candidate meanings. Figure 5 shows the  
 323 results of this model configuration when production and comprehension pressures are in com-  
 324 petition<sup>6</sup>. Here, the effect of frequency is much clearer: the high-frequency component of the  
 325 lexicon becomes more clustered more quickly than the low-frequency component. However,

<sup>6</sup>We only show this condition here since we have already established that there is no effect of frequency in the other two conditions.

326 again, this effect is only observable for lower values of the Receiver’s  $\gamma$  parameter.



**Figure 5:** Average pairwise edit distance for the high and low-frequency components of the lexicon when production and comprehension pressures are in competition, with two additional modifications to the model architecture: (1) Producer biases are stronger for high-frequency items, and (2) high-frequency items are more predictable for the Receiver. In this configuration, an effect of frequency is evident when the Receiver’s  $\gamma$  parameter is low (left), but still does not emerge for higher values of  $\gamma$  (right).

## 327 2.4 Model discussion

328 Our model shows that phonetic clustering — a robust property of natural language lexicons  
329 — can emerge from initially random languages during repeated episodes of communication.  
330 Specifically, moderately-clustered lexicons emerge when there is competition between produc-  
331 tion pressures (which favour greater similarity between words) on the one hand, and compre-  
332 hension pressures (which favour greater distinctiveness) on the other. With just one or other  
333 of these pressures, lexicons tend to fall within an extreme region of the possible design space:  
334 under the influence of production pressures alone, lexicons degenerate to the point of being  
335 communicatively useless, while when comprehension is the only pressure, lexicons remain in  
336 their initial, maximally disperse state.

337 Although models are always a simplification of the system they are designed to study, it is  
338 worth revisiting the specific simplifying assumptions we have made here. Firstly, as described  
339 in Section 2.1.2, we do not use a feature-based representation of the segments within a word,  
340 unlike in some similar models (e.g. Wedel 2012). Such a model architecture would probably  
341 improve the Receiver’s performance, by allowing them to make more sophisticated compar-  
342 isons between a received signal and their stored exemplars. However, since such fine-grained  
343 patterns of similarity do not feature in the calculations of phonotactic probability and bigram  
344 frequency that drive the Producer’s behaviour, we do not think there would be significant  
345 downstream consequences for the eventual outcome of the model. Rather, clustering would  
346 likely just emerge *faster* since greater success on the Receiver’s part results in more frequent  
347 storage of new exemplars and quicker turnover of old exemplars. In any case, corpus analysis  
348 suggests that a feature-based representation is unnecessary to explain the degree of clustering

349 in natural language lexicons(Dautriche et al. 2017a), which is the basis on which we made this  
350 simplification.

351 Furthermore, whilst successful communication changes the agents' internal representation,  
352 there is no such feedback loop from unsuccessful communication in the model. This is a com-  
353 mon feature of exemplar models in this tradition (e.g. Wedel 2012; Wedel & Fatkullin 2017),  
354 since there is no penalty on unsuccessful signals (beyond not being stored in the target cate-  
355 gory) encoded within the Generalised Context Model of signal reception (Nosofsky 1986, 2011).  
356 However, other frameworks exist that could capture the intuition that language users might try  
357 not to use variants that they do not believe to be communicatively useful. For example, var-  
358 ious types of models employ some kind of negative feedback after unsuccessful interactions,  
359 either deletion or inhibition as in reinforcement models (e.g. Barrett 2006; Franke & Jäger 2012;  
360 Skyrms 2010) or weakening associations as in the Naming Game (Steels 2012; Steels & Loetzsch  
361 2012); for further discussion of these mechanisms, see Spike et al. 2017. However, the decision  
362 about how to implement such mechanisms is not straightforward, especially in the case of sig-  
363 nals containing errors whereby there is no exactly matching exemplar in either agents' internal  
364 representation that could be targeted. An alternative to penalising signals after communication  
365 has failed is to downweight signals that are more likely to result in failure *before* an interaction  
366 takes place, as in the Rational Speech Act (Frank & Goodman 2014; Goodman & Frank 2016); in  
367 such models, a pragmatic speaker reasons about how likely a listener would be to recover the  
368 intended meaning from the different utterances available to them. The downside of this kind  
369 of mechanism is that it requires a significant amount of computation in every communication  
370 episode, dramatically increasing the runtime of the models. Listener-oriented approaches have  
371 also been criticised as teleological (e.g. Wedel 2006). In any case, we would argue that either of  
372 these approaches adds unnecessary complication to the model; selection of successful signals  
373 works by itself, it simply takes slightly longer to turn over less useful signals.

374 Finally, it is true that comprehension does not straightforwardly favour word dissimilar-  
375 ity, as suggested by our model of reception: specifically, increases in phonotactic probability  
376 have been found to facilitate word recognition (Vitevitch & Luce 1998). However, pure recog-  
377 nition — in terms of deciding whether a received stimulus is familiar (word) or unfamiliar  
378 (non-word) — is very different from the categorisation task faced by our agents, a task where  
379 competition between multiple activated referents is known to inhibit processing (Luce & Pisoni  
380 1998). Indeed, Vitevitch and Luce 1998 describe the effect of phonotactic probability as facilita-  
381 tive for sub-lexical processing (for example, segmenting the speech stream, or processing novel  
382 sound sequences) and inhibitory for lexical processing (for example, determining the intended  
383 meaning of a received signal, as in our model). Wedel (2012) also points out that the general  
384 behaviour of these exemplars models is the same whether similarity biases are encoded once  
385 (in production) or twice (in production and perception).

386 Returning to the frequency effects discussed in Section 2.3.1, our results suggest that fre-  
387 quency may modulate the rate of lexical evolution, with the effect depending to some extent

388 on the assumptions we make about the processing consequences of frequency. In the most basic  
389 version of our frequency manipulation, we implicitly assume that production biases are under-  
390 lyingly frequency-*independent*. In other words, the model architecture is such that producers  
391 want to maximise production ease across the board; frequency-dependent lexical evolution  
392 emerges simply because they can get away with doing so more for high-frequency items. The  
393 fact that frequency effects are so subtle under this assumption makes sense when we examine  
394 how frequency actually impacts the two participants in a conversation. From the comprehen-  
395 der’s side, a frequency advantage is baked into the reception mechanism (Equation 2): the  
396 stronger mental representation of high-frequency items (due to their larger memory limit) in-  
397 creases the Receiver’s certainty that a received signal maps onto a target category. However,  
398 from the producer’s side, any selection which may be acting to change a word’s form is compet-  
399 ing against the fact that the representation of the word’s existing form is very strong; this may  
400 also be why, for example, high-frequency irregular items tend to resist regularisation (e.g. By-  
401 bee 1995; Cuskley et al. 2014; Sims-Williams 2022; Smith et al. 2023; Wu et al. 2019). Therefore,  
402 while comprehension may permit greater clustering for high-frequency items, the production  
403 process may be slower to generate the variation required for selection to act upon for these  
404 items. A stronger effect of frequency can emerge from the model under certain conditions,  
405 but of course, it may not be desirable to make the additional assumptions required to generate  
406 this result (Marquet et al. 2014). Future work could expand upon the frequency aspect of our  
407 model, for example, by using a more realistic distribution of word frequencies (i.e. following a  
408 power law) rather than treating frequency as a binary value.

409 Overall though, our model predicts that production or comprehension pressures in iso-  
410 lation will give rise to lexicons at one extreme of clustering or the other. An intermediate  
411 state, with levels of clustering more similar to those found in natural language lexicons, should  
412 emerge when these pressures are in competition. In the next section, we simulate these same  
413 pressures in a communication experiment with human participants, focusing more specifically  
414 on the interaction between clustering and frequency.

### 415 **3 Communication experiment**

416 We use an artificial language learning paradigm to investigate how production and comprehen-  
417 sion pressures trade-off against each other to influence language users’ lexical choices during  
418 communication. The experiment is inspired by Kanwal et al. (2017), who showed that Zipf’s  
419 Law of Abbreviation (Zipf 1949) emerges from precisely such a trade-off. Specifically, in their  
420 experiment, participants were trained on a miniature lexicon in which two objects that dif-  
421 fered in frequency were labelled with either a unique, long label (“zopudon” or “zopekil”) or  
422 a shared (and therefore ambiguous) short label, “zop”. Kanwal et al. found that participants  
423 favoured the ambiguous short label (which was quicker to produce) under time pressure, and  
424 the unambiguous long labels under pressure for accuracy. When both of these pressures were



425 present, participants converged on an optimal solution, whereby the short label was consis-  
426 tently mapped to the high-frequency object and the long label to the low-frequency object,  
427 consistent with the Law of Abbreviation. By simulating the pressures inherent to real commu-  
428 nication, this method provides a convenient way to disentangle the individual effects of op-  
429 posing pressures, and to show that key structural properties of natural languages can emerge  
430 from their confluence.

431 Following Kanwal et al., rather than relying on participants to introduce changes to the lexi-  
432 con themselves — i.e. make errors in production — we designed a lexicon incorporating lexical  
433 variation. However, the competitors in our experiment are words from different phonological  
434 neighbourhoods, rather than words of different lengths. Specifically, each object was labelled  
435 by two different words: one from a high-density neighbourhood (highly confusable with words  
436 belonging to other meanings), and one from a low-density neighbourhood (highly dissimilar  
437 from all other words in the language). As in Kanwal et al., participants were trained on the  
438 different names for two objects that differed in frequency, and were then paired up to play a  
439 communication game, during which we manipulated the presence or absence of a production-  
440 side pressure for similarity (Stemberger 2004; Vitevitch & Luce 2005; Vitevitch & Sommers  
441 2003) and a comprehension-side pressure for distinctiveness (Chan & Vitevitch 2009; Luce &  
442 Pisoni 1998). We predicted that natural-language-like properties would arise only when both  
443 these pressures were present.

## 444 3.1 Methods

445 The study was approved by the PPLS Ethics Committee at the University of Edinburgh (ref.  
446 6-2425/1) and was pre-registered with the Open Science Foundation (<https://osf.io/jucn6>).

### 447 3.1.1 Materials

448 The meaning space consisted of two objects — a compass and a lightbulb — represented by  
449 drawings from the MultiPic databank (Duñabeitia et al. 2018). The two drawings score very  
450 similarly for visual complexity (2.65 and 2.41 respectively, on a scale from 1 to 5). To investigate  
451 the role of frequency on clustering, one object (randomly chosen for each participant) appeared  
452 three times more frequently than the other throughout the experiment. The language con-  
453 sisted of four artificial CVC words: “zun” [zʌn] and “zan” [zæn] (the *high neighbourhood density*  
454 words; henceforth, HND) and “mig” [mɪg] and “tep” [tɛp] (the *low neighbourhood density* words;  
455 henceforth, LND). The artificial words are matched for neighbourhood density in English ( $56$   
456  $\pm 1$ ) according to the CELEX corpus (Baayen et al. 1995) and have average positional phoneme  
457 probability ranging between 0.0498 and 0.0583 according to the Irvine Phonotactic Online Dic-  
458 tionary (Vaden et al. 2009). We designed the words in this way to ensure that any preference  
459 for either HND or LND words would be driven only by their status within the artificial lan-

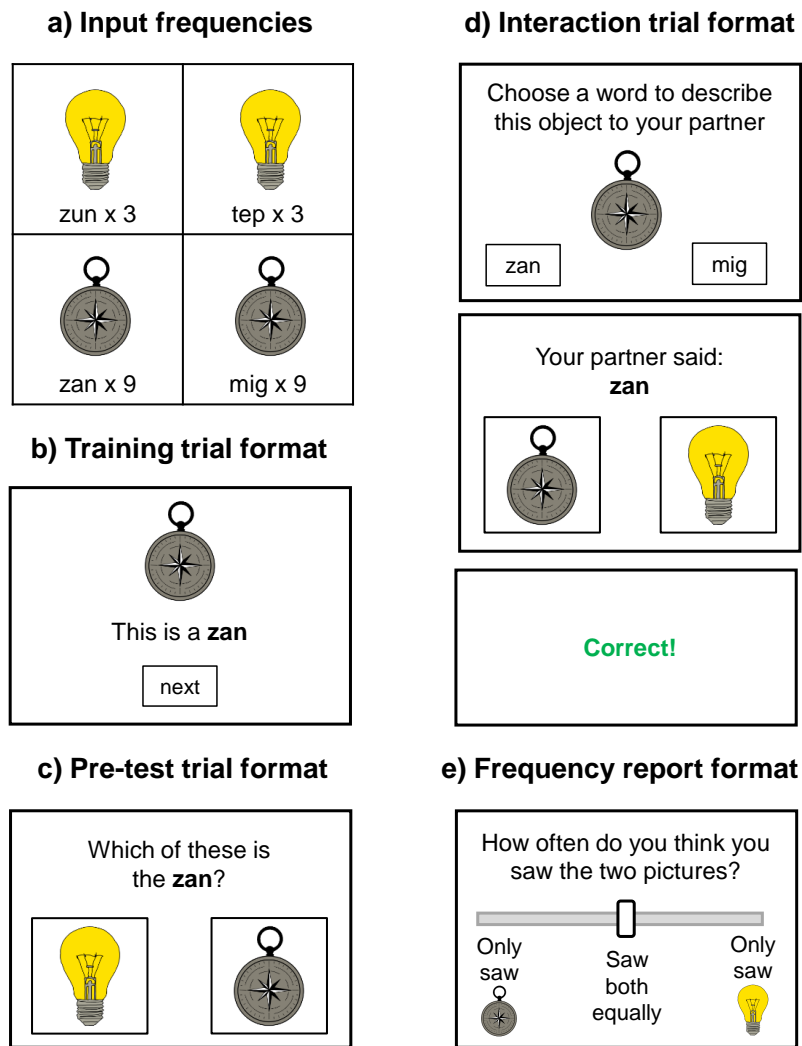
460 guage, not by their relationship to participants' native English. Audio files for each word were  
461 synthesised using an online IPA to Speech tool (<https://www.antvaset.com/ipa-to-speech>).  
462 For each participant, each object was randomly assigned two names: one from each neigh-  
463 bourhood. Unlike in Kanwal et al. 2017, the competitor labels for an object were therefore not  
464 variants of a single word (e.g. "zopudon" → "zop"), but two completely different words. We  
465 designed the lexicon in this way to maximise the distance between the LND words: any words  
466 that were more clearly derived from the HND words would necessarily also be quite similar to  
467 each other, reducing their distinctiveness.

### 468 3.1.2 Procedure

469 The experiment was written in JavaScript using the jsPsych library (de Leeuw et al. 2023).  
470 The design is based on the paradigm developed by Kanwal et al. (2017). A schematic of the  
471 experimental design and procedure is given in Figure 6. Participants completed the following  
472 phases, in the order shown below.

473 **Training** On each training trial, an object was presented on screen alone for 1000ms while  
474 the audio file of the appropriate word played once. The orthographic form of the word then  
475 appeared below the image in the English frame 'This is a ...'. After another 1500ms, a 'next'  
476 button appeared to let participants advance to the next trial. Participants completed 24 training  
477 trials: 18 for the frequent object, and 6 for the infrequent object. Each object appeared half the  
478 time with its HND word and half the time with its LND word. The order of training trials was  
479 randomised for each participant.

480 **Pre-test** After the training phase, participants were tested on their knowledge of the lan-  
481 guage. On each trial, participants were presented with a word from the artificial language  
482 in the English frame 'Which of these is the ...?' and asked to choose between the two objects.  
483 They received full feedback on their response. Again, participants completed 24 trials, with  
484 the same distribution over frequent/infrequent meanings and HND/LND words as in train-  
485 ing. The order of trials was randomised for each participant. Participants were required to  
486 reach at least 83% accuracy (i.e.  $\geq 20$  trials correct) to proceed to the interaction phase. Addi-  
487 tionally, two attention checks were randomly interspersed within this phase. On these trials,  
488 participants saw a familiar English word in the same 'Which of these is the ...?' frame, along  
489 with two previously unseen pictures. They received no feedback on their response to these  
490 trials. Participants were required to pass at least one of these attention checks to proceed to the  
491 interaction phase.



**Figure 6:** Schematic of the experimental design and procedure. (a) Example training set (the exact permutation of objects and labels was randomised for each participant) showing the 75/25 frequency distribution over the two objects (rows) and 50/50 distribution over HND and LND words (columns). (b) Example training trial. (c) Example pre-test trial. (d) Example interaction trial, proceeding from a Director trial (top) to a Matcher trial (middle) and then feedback to both participants (bottom). (e) Example frequency report trial.

492 **Interaction** The interaction phase of the experiment was managed via a Python WebSockets  
 493 server (based on code from <https://kennysmithed.github.io/oels2023/><sup>7</sup>). At the start of the  
 494 interaction phase, participants were put into a virtual waiting room ready to be paired with the  
 495 next participant who completed the pre-test. An on-screen timer kept participants informed  
 496 of how long they had been waiting. If participants were not paired with a partner within 5  
 497 minutes, they were removed from the waiting room and paid for their time.

498 Once participants were paired, they played a communication game. Participants were in-  
 499 structed that they had two goals: to score as many points as possible (i.e. the *accuracy* pressure  
 500 in Kanwal et al. 2017) and to complete the game as quickly as possible (i.e. the *time* pressure in  
 501 Kanwal et al. 2017).

<sup>7</sup>Full code for the experiment is available at <https://osf.io/vsy6z/>.

502 On each trial, one participant acted as the Director and the other as the Matcher; roles al-  
503 ternated between every trial. The Director was shown an object and asked to name it for their  
504 partner. An on-screen stopwatch tracked how long the Director took to complete this task (to  
505 reinforce the pressure for speed). The Director was always given both object names as op-  
506 tions, but the method of producing a word differed between conditions, as outlined below.  
507 The Matcher was shown the word sent by the Director (with or without noise depending on  
508 condition; see below) and asked to choose which object they thought their partner was describ-  
509 ing. Both participants received feedback as to whether the Matcher chose the correct object  
510 (to reiterate the pressure for accuracy). Participants completed 24 trials as Director and 24 as  
511 Matcher, with the same distribution over frequent/infrequent meanings as in training. The  
512 order of each participant’s Director trials was randomised. At the end of the interaction phase,  
513 both participants were shown their pair’s final score and overall completion time.

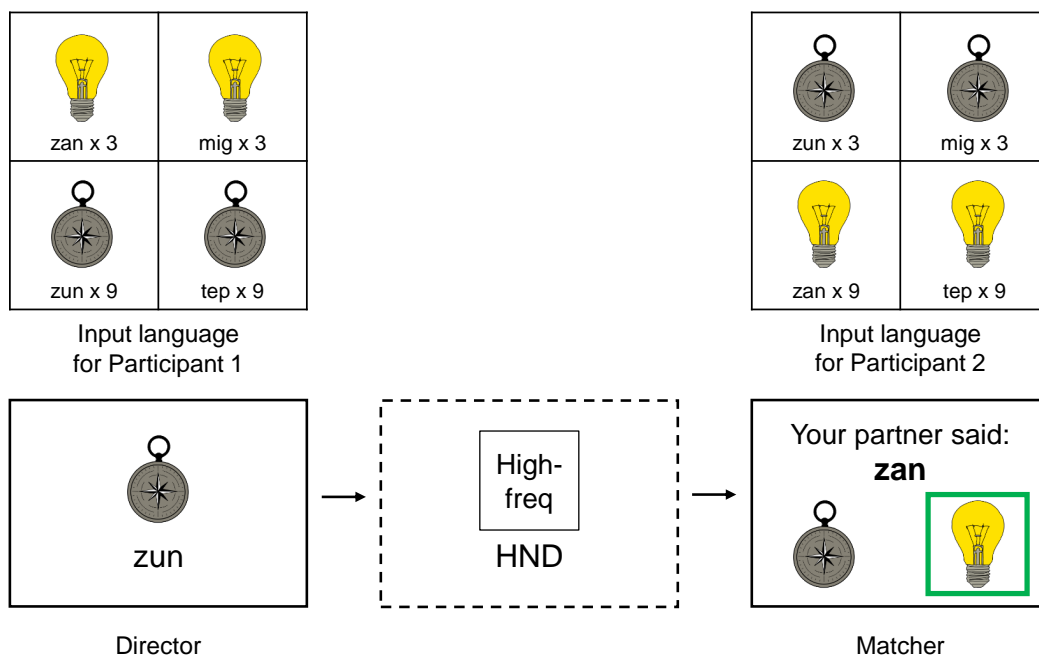
514 To avoid having to ensure that participants were trained on the same version of the input  
515 language (since the assignment of objects to frequencies and words to objects was randomised  
516 for each participant), participants’ responses were translated via a shared underlying represen-  
517 tation before being transmitted, following a similar method to that used by Smith et al. (2024).  
518 Specifically, if the object being labelled by the Director was the high-frequency object in their  
519 training set, then the target object (i.e. correct answer) for the Matcher would be whichever ob-  
520 ject was the high-frequency object in *their* training set. Similarly, if the Director sent the HND  
521 word that they were trained on for their target object, then the Matcher would see the HND  
522 word that *they* were trained on for *their* target object (i.e. the object of the same frequency as the  
523 object seen by the Director). This procedure is illustrated in Figure 7.

524 Each pair was randomly assigned to one of the three experimental conditions. There were  
525 two different versions of the Director and Matcher trials — an easy version, and a more difficult  
526 version — depending on condition. In the PRODUCTION condition, Director trials were difficult  
527 but Matcher trials were easy. In the COMPREHENSION condition, it was the other way around:  
528 Matcher trials were difficult but Director trials were easy. In the critical COMBINED condition,  
529 both tasks were difficult. Specifically, the manipulations were as follows (also illustrated in  
530 Figure 8):

- 531 • **Easy Director trials:** The Director was presented with both word options for the target  
532 object (in a random order) and simply asked to click on the word they wished to send.
- 533 • **Difficult Director trials:** The Director was presented with both word options for the tar-  
534 get object (in a random order) and asked to use a 3x6 on-screen keyboard to type one of  
535 the words. They were only able to transmit one of the valid words; if they submitted a  
536 word that didn’t exist in the artificial language, or that referred to the other object, they  
537 were asked to try again<sup>8</sup>. The letters required to make an HND word (“z”, “u”, “a” and

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<sup>8</sup>We included this restriction for two reasons. Firstly, the translation procedure illustrated in Figure 7 would only work if it was possible to definitively map participants’ responses to categories from the input language. And



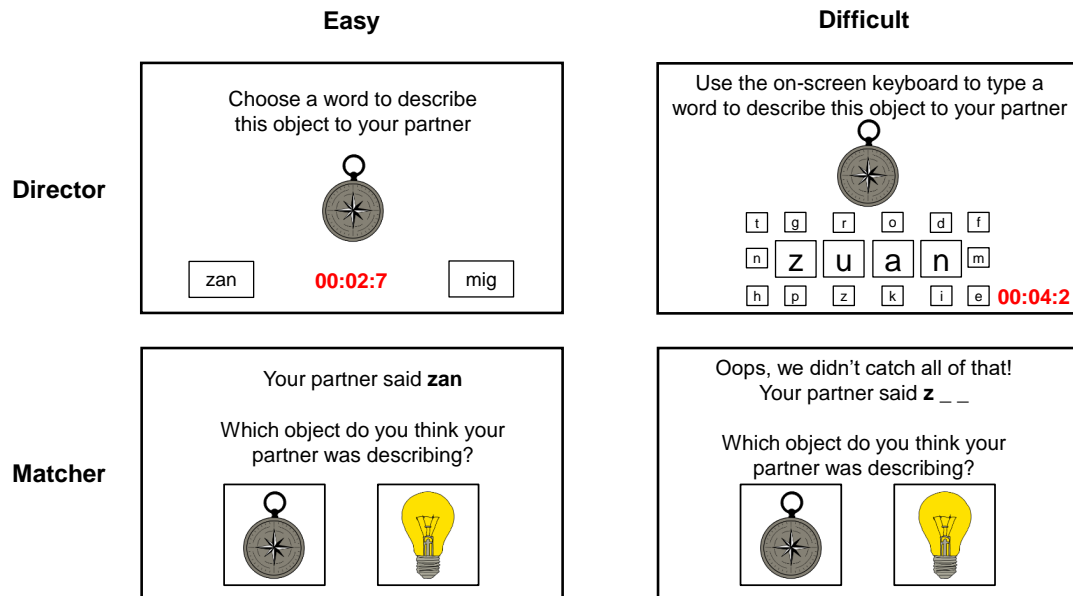
**Figure 7:** Example of the procedure for transmitting responses in the interaction phase between two participants who were trained on a different random permutation of the input language. The Director sees the compass (which was the high-frequency object in their training set) and sends the word “zun”. This is first translated into an underlying representation whereby objects are represented by their frequency and words by their neighbourhood, rather than either being associated with specific forms. This underlying representation is then used to determine which word form to show the Matcher and which object should be the target; in this case, the lightbulb is the target object since this was the high-frequency object in the Matcher’s training set, and its associated HND word is “zan”.

538 “n”) always appeared in the same positions in the centre of the keyboard. The letters  
 539 required to make an LND word (“t”, “e”, “p”, “m”, “i” and “g”), along with six other  
 540 distractor letters that were not used in the artificial language, appeared around the out-  
 541 side of the keyboard and changed positions on every trial. Additionally, the central four  
 542 buttons were three times as large (both in area and in font size) as the outer buttons. In  
 543 this way, HND words were easier to produce than LND words. This design was intended  
 544 to simulate the idea that, in spoken word production, frequently-used phonemes are pro-  
 545 nounced more quickly and accurately, while less frequently-used phonemes present more  
 546 of a moving target for pronunciation (Goldrick & Larson 2008; Goldrick & Rapp 2007;  
 547 Munson 2001; Vitevitch et al. 2004).

- 548 • **Easy Matcher trials:** Transmission was clean, and the Matcher was presented with the  
 549 full word sent by the Director (after any necessary translation; see above).
- 550 • **Difficult Matcher trials:** Transmission was noisy, and the Matcher was presented with  
 551 only the first letter of the word sent by the Director (after any necessary translation; see  
 552 above). One letter provided enough information to distinguish between the LND words,  
 553 but this information loss rendered the HND words identical and therefore ambiguous

secondly, the Matcher in the COMPREHENSION condition would always see a valid word since the Director had no freedom to invent new forms, so we wanted to ensure that this aspect was parallel across conditions.

554 between the two objects. This design was intended to simulate the idea that, in spoken  
 555 word perception, words with many neighbours activate many candidate meanings, and  
 556 are thus more likely to be misinterpreted, while more distinctive words are more likely to  
 557 activate only the target meaning (Chan & Vitevitch 2009; Luce & Pisoni 1998).



**Figure 8:** Easy (left) and more difficult (right) versions of the Director (top) and Matcher (bottom) tasks. When the tasks are easy, HND and LND words are similarly easy to produce and comprehend. When the tasks are difficult, there is a production-side pressure in favour of HND words, which are made up of more accessible segments, and a comprehension-side pressure in favour of LND words, which are able to overcome the noise on transmission.

558 **Frequency report** Once participants completed the interaction phase, they were asked to  
 559 complete one final task individually. This task was included as a sense check that participants  
 560 had noticed the frequency imbalance between the two objects. Participants were presented  
 561 with a continuous slider over percentages and asked “How often do you think you saw the  
 562 two pictures? Did you see one more than the other?”. The slider was accompanied by three  
 563 labels: “Only saw *Object 1*” at one end, “Saw both objects equally often” in the middle, and  
 564 “Only saw *Object 2*” at the other end. Which object appeared at which end of the slider was  
 565 randomised for every participant.

### 566 3.1.3 Participants and exclusions

567 We used Prolific to recruit 220 adults resident in the UK who self-reported that their first lan-  
 568 guage was English and that they had no known language disorders. They were provided  
 569 with a downloadable information sheet and gave informed consent to participate. The experi-  
 570 ment took around 20 minutes to complete in full (median time = 17:46), for which participants  
 571 were paid £3.50 (above UK National Minimum Wage at the time of running the experiment).

572 Seven participants were prevented from proceeding to the communication game due to low  
573 accuracy on the pre-test<sup>9</sup>; these participants were paid a reduced rate of £1.75. 27 participants  
574 started but failed to complete the interaction phase (either due to technical difficulties during  
575 the communication game or because they timed-out of the waiting room before being paired  
576 with a partner); these participants were paid a variable rate depending on how far they had got  
577 through the experiment. Six participants (one pair in each condition) completed the commu-  
578 nication game and were paid the full rate, but their data was excluded from analysis because  
579 their completion time was more than 3 standard deviations above the median in that condi-  
580 tion. We also pre-registered that we would exclude data from participants who admitted to  
581 taking written notes in a debrief questionnaire; no participants were excluded on this criterion.  
582 After all exclusions and dropouts, we were left with 30 pairs in each condition: a total of 180  
583 individual participants.

### 584 3.1.4 Predictions

585 We predicted that participants in the PRODUCTION condition, where HND words were easier  
586 to produce than LND words, would tend to use the HND word for both objects, regardless  
587 of frequency. By contrast, we predicted that participants in the COMPREHENSION condition,  
588 where noisy transmission meant that HND words (but not LND words) became indistinguish-  
589 able, would tend to use the LND word for both objects, regardless of frequency. We predicted  
590 that we would observe a natural-language-like frequency trade-off in the critical COMBINED  
591 condition, where both these pressures were present, such that participants would consistently  
592 map the frequent object to the HND word and the infrequent object to the LND word. This is  
593 the optimal strategy by which to minimise production effort (and therefore complete the game  
594 as quickly as possible) but still maintain an unambiguous one-to-one form-meaning mapping  
595 (and therefore score as many points as possible).

## 596 3.2 Results

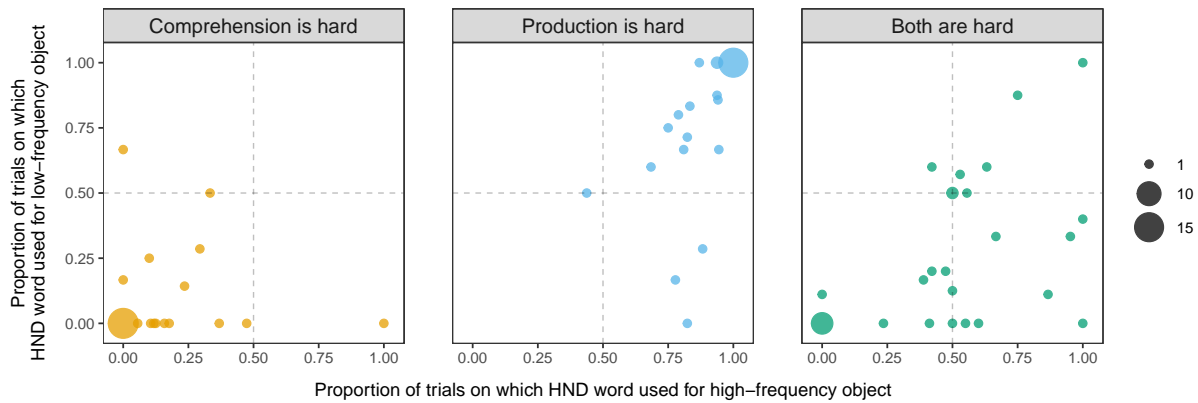
### 597 3.2.1 Confirmatory analysis

598 Figure 9 shows the proportion of trials on which each pair used the HND word on Director  
599 trials, split by object frequency and condition. As predicted, most participants in the COMPRE-  
600 HENSION condition used the LND word for both objects, while in the PRODUCTION condition,  
601 most participants used the HND word for both objects. In the critical COMBINED condition,  
602 where the HND words were considerably easier to produce for the Director but functionally  
603 ambiguous for the Matcher, participants adopted a range of strategies. Some arrived at the op-  
604 timal strategy described in Section 3.1.4. However, many were willing to expend extra time and  
605 effort to use the LND words for both objects and thus ensure accurate communication, while

---

<sup>9</sup>All participants passed both attention checks, so these exclusions were all due to low accuracy on critical trials.

606 others opted to use the HND words for both objects and thus minimise transmission time at  
 607 the expense of perfect accuracy.



**Figure 9:** Proportion of trials on which the HND word was used for the high-frequency object vs. the proportion of trials on which it was used for the low-frequency object. Each data point combines a pair of communicating players, representing the sum of their Director trial productions. As in Kanwal et al. (2017), only data from the second half of each pair’s interaction trials is shown, as participants were more likely to have converged on a stable mapping by this time. Data points in the bottom left quadrant indicate pairs who are mostly using the LND words for both objects; participants are clustered in this quadrant in the COMPREHENSION condition (left), where only the LND words are reliably distinguishable and there is no countervailing pressure from production in favour of the HND words. Data points in the top right quadrant indicate pairs who are mostly using the HND words for both objects; participants are clustered in this quadrant in the PRODUCTION condition (middle), where HND words are considerably easier to produce than LND words and there is no countervailing pressure from comprehension in favour of the LND words. Data points in the bottom right quadrant indicate pairs who are mostly using the HND word for the frequent object and the LND word for the infrequent object. This behaviour, consistent with the frequency trade-off seen in natural languages, is numerically most common in the critical COMBINED condition (right), where both production and comprehension pressures are at play, but a range of other behaviours are also represented in this condition.

608 We used the lme4 package (Bates et al. 2015) in R (R Core Team 2024) to fit a logistic mixed  
 609 effects model to the data, with a binary dependent variable of HND word use (as contrasted  
 610 with LND word use, i.e. 1 if the participant produced the HND word, 0 if they produced the  
 611 LND word). The model included fixed effects of experimental condition (treatment-coded with  
 612 the COMPREHENSION condition as the reference level), object frequency (treatment-coded with  
 613 low-frequency as the reference level) and their interaction, and nested by-participant and by-  
 614 pair random intercepts and random slopes for object frequency<sup>10</sup>. As in Kanwal et al. (2017),  
 615 only data from the second half of each participant’s Director trials was included in the model, as  
 616 pairs were more likely to have converged on a stable mapping by this time. The model reveals  
 617 that participants in the COMPREHENSION condition were very unlikely to use the HND words  
 618 for either object, while participants in the PRODUCTION condition were very likely to use the  
 619 HND words for both objects. The predicted interaction between condition and frequency was  
 620 not statistically significant, meaning that there is insufficient evidence to conclude that partic-  
 621 ipants in the critical COMBINED condition were displaying a frequency trade-off in their use  
 622 of HND vs. LND words. However, there was a significant main effect of condition, such that

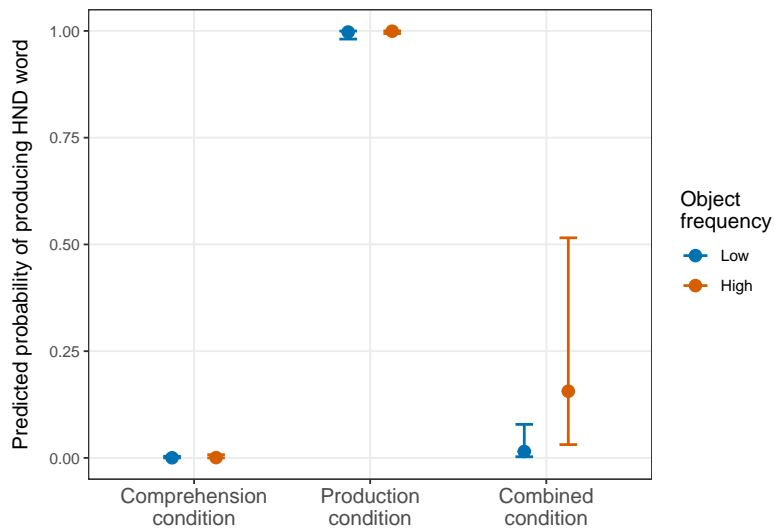
<sup>10</sup>Model formula:  $\text{HND word} \sim \text{condition} + \text{frequency} + \text{condition}:\text{frequency} + (\text{frequency} | \text{pair}/\text{participant})$



623 participants in the COMBINED condition were more likely *overall* to use the HND words than  
 624 participants in the COMPREHENSION condition. A full summary of model coefficients is given  
 625 in Table 1. The model’s predictions for each combination of condition and object frequency are  
 626 shown in Figure 10.

**Table 1:** Summary of fixed effects for a logistic mixed effects model with HND word use as the binary dependent variable, and nested by-participant and by-pair random effects for object frequency. The predicted effects are shown in bold. Coefficient estimates are on the log-odds scale.

	$\beta$	SE	$z$	$p$
<b>intercept (object = infrequent, condition = Comprehension)</b>	<b>-8.075</b>	<b>1.590</b>	<b>-5.078</b>	<b>&lt;0.001</b>
object = frequent	0.807	1.707	0.473	0.636
<b>condition = Production</b>	<b>14.024</b>	<b>2.526</b>	<b>5.553</b>	<b>&lt;0.001</b>
condition = Combined	3.893	1.434	2.714	<0.01
object = frequent & condition = Production	0.582	2.787	0.209	0.835
<b>object = frequent &amp; condition = Combined</b>	<b>1.689</b>	<b>1.458</b>	<b>1.158</b>	<b>0.247</b>

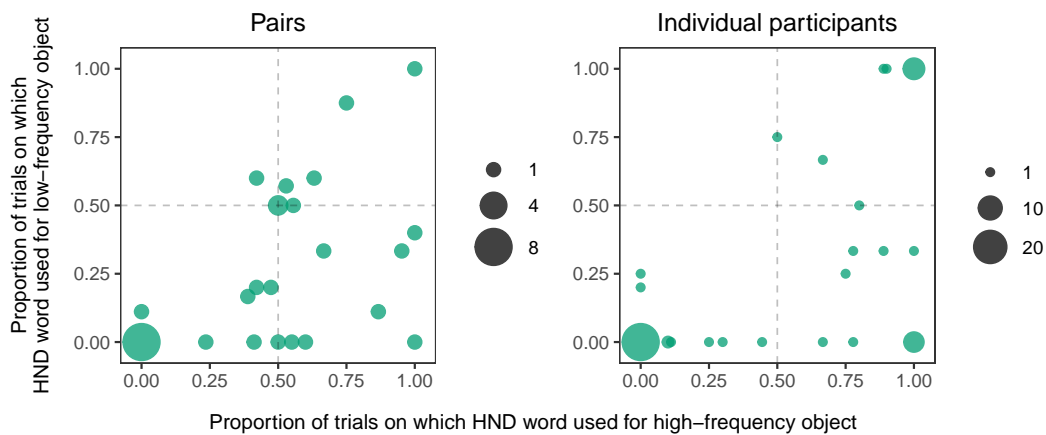


**Figure 10:** Model predictions for each combination of condition and object frequency, generated using the `ggeffects` package (Lüdtke 2018). Points represent the predicted probability of producing an HND word; error bars represent the 95% confidence interval around this value. Although the model predicts that participants in the critical COMBINED condition were numerically more likely to produce an HND word for the high-frequency object than the low-frequency object, this interaction between condition and frequency was not statistically significant (see Table 1).

### 627 3.2.2 Exploratory analysis

628 Figure 9 suggests that when only one aspect of the communicative task was difficult, most par-  
 629 ticipants took the same approach to mitigating this difficulty: data points are strongly clustered  
 630 in the bottom-left and top-right corners in the COMPREHENSION and PRODUCTION conditions  
 631 respectively. By contrast, when both aspects of the task were difficult, it is less clear that par-  
 632 ticipants were converging on a single optimal solution: data points are more widely scattered  
 633 around the plot in the COMBINED condition. In particular, there are a number of points towards

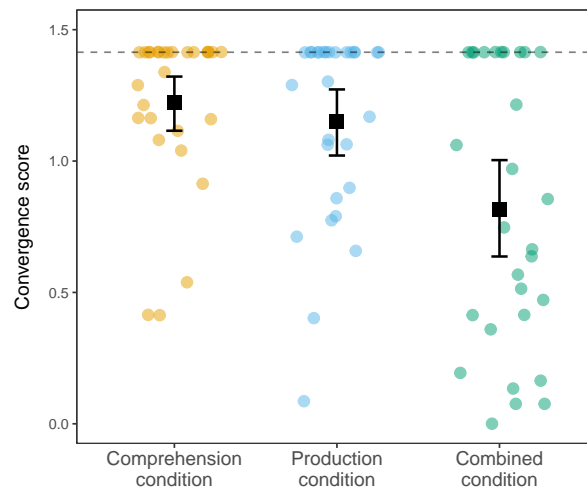
634 the centre of the plot (on at least one axis) in this condition, representing pairs who appear to  
 635 be probability matching to the input by using the HND and LND words approximately 50%  
 636 of the time each (for at least one object). However, this method of visualisation disguises some  
 637 underlying differences between the two members of the pair. Specifically, while it is possible  
 638 that a pair at the centre of this plot could consist of two participants probability matching to  
 639 the input, it is equally possible that these points represent pairs where one participant is only  
 640 using the HND words and the other is only using the LND words. Indeed, if we plot individ-  
 641 ual participants instead of collapsing across pairs, we can see that the data tends to move away  
 642 from the centre and towards the corners (Figure 11).



**Figure 11:** By-pair (left) vs. by-participant (right) data for the COMBINED condition. Although it appears that a number of pairs are producing HND and LND words with roughly equal frequency, it is clear that individual participants are at least somewhat consistent in their choice of word. This suggests that pairs towards the centre of the left-hand panel have not converged on a shared language; rather, these pairs probably consist of one participant who is mostly using the HND words for both objects and one who is mostly using the LND words for both objects.

643 To further explore this trend, we calculated a convergence score for each pair by comparing  
 644 the languages produced by each member of the pair. Each participant’s output language can be  
 645 fully described by a 2-dimensional vector  $(HF, LF)$  where  $HF$  is the proportion of trials on which  
 646 the participant used the HND word for the high-frequency object and  $LF$  is the proportion of  
 647 trials on which they used the HND word for the low-frequency object. For example, the vector  
 648  $(1, 0)$  captures a language showing the expected frequency trade-off (i.e. in the bottom-right  
 649 corner of the plot). The *divergence* between two members of a pair is given by the Euclidean  
 650 distance  $e$  between their output languages. The maximum possible Euclidean distance between  
 651 two  $n$ -dimensional vectors is equal to  $\sqrt{n}$  when the input values are bounded between 0 and 1.  
 652 Therefore, the *convergence* between two members of a pair is given by  $\sqrt{2} - e$ . Figure 12 shows  
 653 the distribution of convergence scores by condition. We fit a linear regression model to this  
 654 data, predicting convergence score as a function of experimental condition (treatment-coded  
 655 with the COMPREHENSION condition as the reference level). The model reveals that within-  
 656 pair convergence was significantly lower in the COMBINED condition ( $\beta = -0.407$ ,  $SE = 0.107$ ,

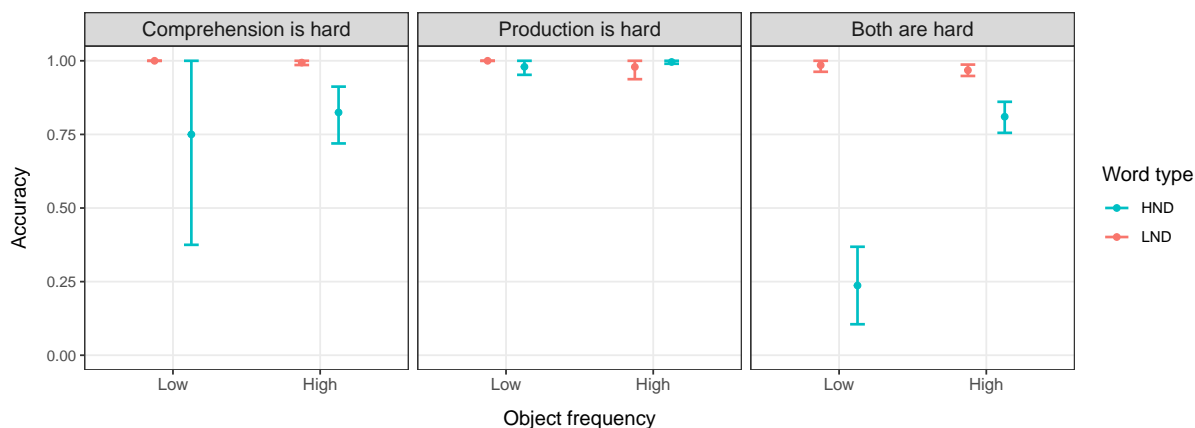
657  $t = -3.804, p < 0.001$ ), while there was no significant difference between the COMPREHENSION  
658 and PRODUCTION conditions ( $\beta = -0.073, SE = 0.107, t = -0.682, p = 0.497$ ).



**Figure 12:** Convergence scores by condition. The dashed line indicates the maximum possible score, which is achieved when both members of a pair produce exactly the same output language. Each coloured point represents an individual pair. Black points represent the mean over all pairs in that condition; error bars represent bootstrapped 95% confidence intervals over the mean. Convergence scores are similarly high in the COMPREHENSION and PRODUCTION conditions, but significantly lower in the COMBINED condition.

659 Since pairs in the COMBINED condition are often failing to converge on a shared language,  
660 we might also expect accuracy on Matcher trials to be lower in this condition. Figure 13 shows  
661 how often the Matcher successfully selected the target object in each condition, depending on  
662 the object's frequency and the word used to label it. We fit a logistic mixed effects model to  
663 this data, predicting accuracy as a function of experimental condition (treatment-coded with  
664 the COMPREHENSION condition as the reference level), word type (treatment-coded with LND  
665 as the reference level), object frequency (treatment-coded with low-frequency as the reference  
666 level), and all two-way and three-way interactions between them. The model also included by-  
667 participant random intercepts, but failed to converge with random slopes for object frequency  
668 or nested random intercepts by-participant and by-pair. There was no main effect of being in  
669 the COMBINED condition ( $\beta = -0.389, SE = 1.120, t = -0.347, p = 0.728$ ). However, the model  
670 yielded a significant three-way interaction between condition, frequency and word type, such  
671 that the probability of a correct response was higher in the COMBINED condition when the  
672 target object was high-frequency and labelled with the HND word ( $\beta = 4.136, SE = 1.607, t$   
673  $= 2.574, p < 0.05$ ).

674 This three-way interaction could indicate that participants had some expectations of a natural-  
675 language-like frequency trade-off in comprehension (even if this was not borne out in their  
676 productions). Specifically, participants were relatively successful at inferring their partner's in-  
677 tended meaning when an HND word was used to label the high-frequency object, even though  
678 the information provided by the word form alone could equally point to either object. Con-  
679 versely, participants were very unlikely to infer that their partner was referring to the low-



**Figure 13:** Accuracy on Matcher trials by condition, object frequency and word type. Accuracy is high across the board for LND words, which are always unambiguous. Accuracy for HND words depends both on condition and object frequency: participants in the COMBINED condition are significantly more likely to successfully infer the intended meaning of these words when they are used to label the high-frequency object than when they are used to label the low-frequency object, suggesting that participants in this condition may have some expectations of a natural-language-like frequency trade-off when interpreting ambiguous signals.

680 frequency object when they used an HND word. However, it is difficult to determine whether  
 681 this discrepancy only arises in the COMBINED condition because participants in this condition  
 682 understand that there are pressures in favour of both HND and LND words and therefore form  
 683 different expectations about how their partner might be behaving, or because this is the only  
 684 condition where both word types are used frequently enough to observe a difference between  
 685 them. In other words, it may be that accuracy for HND words only appears to be similar across  
 686 the two object frequencies in the COMPREHENSION condition because these words are hardly  
 687 ever used for either object<sup>11</sup>. If this is the case, then accuracy for HND words in the COMBINED  
 688 condition may simply reflect a strategy of guessing meanings proportional to their frequency  
 689 when the signal is ambiguous (i.e. guess the high-frequency meaning 75% of the time and the  
 690 low-frequency meaning 25% of the time).

### 691 3.3 Experiment discussion

692 In our experiment, we found that language users were easily able to adapt their lexical choices  
 693 for efficient communication when *only* production was difficult or *only* comprehension was  
 694 difficult. However, the picture was less clear when both of these pressures were present.  
 695 Some participants converged on the efficient natural-language-like solution: mapping easy-  
 696 to-produce but potentially ambiguous words to frequent objects and harder-to-produce but  
 697 easily distinguishable words to infrequent objects. However, other participants apparently pri-  
 698 oritised one pressure over the other, either by using only the unambiguous LND words despite  
 699 their cost in production, or by using only the easily accessible HND words despite their cost

<sup>11</sup>Accuracy in the PRODUCTION condition is, unsurprisingly, at ceiling across the board, since the clean transmission channel in this condition ensures that all words are unambiguous.

700 in comprehension. Nonetheless, as in our model, the lexicons that emerged when production  
701 and comprehension pressures were in competition represented an intermediate state between  
702 the extreme outcomes observed when only one of these pressures was at play, at least in terms  
703 of the *overall* likelihood of producing an HND word.

704 Notably, this experiment was designed as a relatively close replication of Kanwal et al.  
705 (2017). Although the exact production and comprehension pressures we simulate are not iden-  
706 tical, the net effect of these pressures was very similar: LND words (like long words in Kanwal  
707 et al.) took longer to produce, and HND words (like short words in Kanwal et al.) were ambigu-  
708 ous in communication. Despite these parallels, we do not replicate the frequency trade-off that  
709 arose in Kanwal et al.'s COMBINED condition. In considering why our findings did not robustly  
710 bear out our predictions, it is worth laying out what might have led to this discrepancy.

711 Certainly, the two experiments do differ in a number of important ways. Firstly, the in-  
712 put languages are quite unlike. The two objects in Kanwal et al.'s experiment shared a short  
713 name ("zop") which was derived by clipping their unique long names ("zopekil" and "zop-  
714 udon"). In this way, there was a clear relationship between an object's alternative names, and  
715 the ambiguity of the short name was a property of the lexicon that was evident throughout  
716 the experiment, including during training. Conversely, the two names for each object in our  
717 experiment were clearly unrelated, and while the HND words were very similar to each other,  
718 there was no outright ambiguity in the lexicon: the ambiguity only arose during communi-  
719 cation as a side-effect of noisy transmission. It may therefore be the case that participants in  
720 Kanwal et al. were starting to form ideas about how they would deal with the ambiguity ear-  
721 lier in the experiment, whereas participants in our experiment had insufficient time to explore  
722 different strategies once they realised that the HND words were functionally ambiguous. In  
723 fact, it is possible that participants in our experiment didn't even realise that the HND words  
724 *were* ambiguous for their partner; anecdotally, a handful of participants reported on the debrief  
725 questionnaire that their partner was only sending one-letter responses, suggesting that not all  
726 participants understood that the noisy transmission was symmetrical and their partner had the  
727 same kind of comprehension difficulty as themselves. This is an inherently different situation  
728 from the one in Kanwal et al., where participants knew exactly how much information the  
729 different labels provided for for their partner. Furthermore, it is likely that participants have  
730 more explicit awareness and experience of abbreviating frequent words (e.g. "information" →  
731 "info") than they do of preferentially selecting between synonyms to maximise ease of pro-  
732 nunciation, and may be bringing this experience to bear when considering how to solve the  
733 task.

734 Secondly, the manipulation of production effort in Kanwal et al. was perhaps more trans-  
735 parent than our keyboard task: the time for which participants had to click and hold to send a  
736 longer word in the former was effectively dead time, whereas participants in our experiment  
737 were still engaged in the task whilst forming LND words, even if it did take longer. Although  
738 our manipulation clearly works in the sense that participants in the PRODUCTION condition

739 strongly favoured the easier-to-form HND words, it could still be the case that it is too sub-  
740 tle when a competing pressure is present. This may also be exacerbated by the fact that the  
741 pressure for accuracy probably feels inherently stronger for participants than the pressure for  
742 speed: Prolific participants are highly motivated to complete tasks “correctly” to avoid hav-  
743 ing their submissions rejected. We tried another version of the experiment which attempted  
744 to address these first two points (reported in Appendix A), but the effect of frequency was not  
745 obviously stronger in this follow-up; the most notable change in participants’ behaviour was  
746 simply an increased preference in favour of the HND words *overall*.

747 Finally, long words in Kanwal et al. remained consistently arduous throughout the experi-  
748 ment, since they always took a fixed number of seconds to transmit. On the other hand, partici-  
749 pants in our experiment may have been able to improve at the keyboard task, thereby reducing  
750 the cost to produce LND words over time (relative to the cost for their partner by *not* produc-  
751 ing them). However, we think this is unlikely to account for much of the variance between the  
752 two experiments since the letters required to form LND words changed position on every trial,  
753 so the only thing participants could really learn that would help them produce these words  
754 on subsequent trials is that they could ignore the centre of the keyboard (which should have  
755 become obvious almost immediately).

756 Nonetheless, our experiment does provide further evidence that neither production pres-  
757 sures nor comprehension pressures *alone* give rise to the kind of organisational structure we see  
758 in real lexicons, in line with Kanwal et al.’s results regarding Zipf’s Law of Abbreviation and  
759 with the results of our computational model when it comes to word similarity. Furthermore, to  
760 the extent that there are subtle tendencies towards a natural-language-like frequency trade-off  
761 when both pressures are present, we would expect these to be amplified through transmis-  
762 sion to successive generations of participants (Reali & Griffiths 2009; Smith & Wonnacott 2010;  
763 Thompson et al. 2016).

## 764 4 General discussion

765 In this paper, we investigated how pressures operating during individual episodes of com-  
766 munication might give rise to an emergent structural property of language, whereby lexicons  
767 tend to be more phonetically clustered than required by their phonotactics, especially for high-  
768 frequency items.

769 In an exemplar-based computational model, we showed that clustering emerges under  
770 competition between production-side pressures for word similarity and comprehension-side  
771 pressures for discriminability. The lexicons that arise from this competition are neither as clus-  
772 tered nor as disperse as they possibly could be, although there is some variance in the exact  
773 details of how the two pressures are balanced depending on the strength of the comprehender-  
774 side pressure for distinctiveness and, to a lesser extent, frequency. With only one commu-

775 nictive pressure at work, the resulting lexicons very clearly fall at one extreme or the other.  
776 Specifically, when producibility is the only pressure, the outcome of repeated communication  
777 is a lexicon that is extremely easy to produce but communicatively degenerate, in that all words  
778 sound almost exactly the same. On the other hand, when comprehensibility is the only pres-  
779 sure, lexicons are maximally expressive in that all words are very distinct, but arduous from a  
780 production perspective due to the lack of shared sound sequences across words.

781 In a communication experiment using an artificial language, we showed that, when ease  
782 of production is the only pressure shaping participant behaviour, a strong preference emerges  
783 in favour of words from a high-density neighbourhood, while when ease of comprehension  
784 is the only pressure, the opposite preference (in favour of words from low-density neighbour-  
785 hood) emerges. Extrapolating these preferences to an imagined wider lexicon, it is clear that  
786 our experiment makes the same predictions as our model: production pressures alone would  
787 be expected to give rise to a highly clustered lexicon, while comprehension pressures alone  
788 would lead to a highly dispersed lexicon. As in the model, an intermediate state emerges when  
789 these pressures are in competition. Specifically, one neighbourhood does not completely win  
790 out over the other in this scenario; rather, words from both neighbourhoods have their place.  
791 However, it is not clear that selection between words from the different neighbourhoods is  
792 modulated by frequency.

793 Putting these two pieces together, our results demonstrate that mechanisms operating dur-  
794 ing individual episodes of communication can shape the structure of the lexicon. Crucially, we  
795 show that evolving lexicons balance the influence of competing pressures that pull in different  
796 directions. However, with respect to the role of frequency, our results are less clear: frequency  
797 effects were subtle in our model, and do not emerge robustly in our experiment. Clearly, it is  
798 not possible to make precise predictions from natural language data about what effect sizes we  
799 would expect in such highly simplified, simulated lexicons. However, it is worth noting that  
800 the relationship between frequency and clustering in real languages is not necessarily a strong  
801 one; in fact, it is specifically described as a “weak tendency” by Frauenfelder et al. (1993). Cor-  
802 relations between frequency and different measures of clustering in Mahowald et al. 2018 were  
803 generally small, with Pearson’s  $r$  values deemed as statistically significant starting at 0.08 and  
804 rarely exceeding 0.3. The relationship between frequency and clustering may also be stronger  
805 for word beginnings than endings (King & Wedel 2020), or for content words over function  
806 words (Frauenfelder et al. 1993), factors not considered here. Therefore, we would suggest  
807 that the subtlety of the frequency effect across our model and experiment may be exactly as  
808 expected.

809 One criticism that might be levelled at our study is that the extreme outcomes that emerge  
810 under the influence of a single communicative pressure paint a highly unrealistic picture of the  
811 cognitive biases that shape language. As pointed out by Wasow et al. (2005), if our notion of  
812 “production effort” includes the effort required to clarify what was intended for a confused  
813 receiver, then effort would clearly not be minimised by a degenerate language (with only one

814 word for every meaning). However, in the limit, a bias to re-use sound sequences across words  
815 points to exactly such a language, and we would argue that, all else being equal, producers  
816 would want their language to conform to this bias. It is exactly because producers have com-  
817 municative goals that all else is *not* equal, and a compromise position has to emerge. Similarly,  
818 it is clearly true that, as comprehenders, we can happily cope with some amount of noise in the  
819 linguistic signal, because there are plenty of other ways to extract an interlocutor’s intended  
820 meaning — from contextual cues in the environment to the many multimodal features of lan-  
821 guage like co-speech gesture and facial expression. Even so, if all language users cared about  
822 was maximising comprehensibility, there would certainly be no harm in having lexicons be  
823 as disperse as their phonotactics would allow. It is precisely because comprehensibility is *not*  
824 the only thing language users need to worry about that we do not see such lexicons in the  
825 real world. Whilst acknowledging that these counterfactual either-or situations do not repre-  
826 sent real language use, it is still useful to examine their consequences in isolation; by doing so,  
827 we can verify that the phenomena we are trying to explain do in fact result from a trade-off  
828 between competing pressures, and cannot be more simply explained by one pressure or the  
829 other.

830 Natural language lexicons, as in the critical conditions of our model and experiment, are  
831 under pressure to adapt to several competing forces. The way in which they achieve an opti-  
832 mal balance between these pressures is clearly not simple, and depends on several factors. For  
833 example, biases can vary in strength: in our model, one source of variation was captured by  
834 the Receiver’s  $\gamma$  parameter (Section 2.1.3), but there are no doubt others in the real world, such  
835 as differences in articulatory or auditory apparatus that might make certain sound sequences  
836 more or less difficult to pronounce for certain individuals (e.g. Franken et al. 2017). In our ex-  
837 periment, a variety of individual differences may have pushed different participants to arrive  
838 at different solutions to the task; for example, more risk averse participants may have been  
839 less willing to sacrifice accuracy for the sake of speed (Carver & White 1994). Nonetheless, the  
840 lexicons that emerge under competing pressures are, in some sense, *efficient* (Gibson et al. 2019;  
841 Jaeger & Tily 2011): words are just distinctive “enough” whilst still being as easy to produce  
842 “as possible” (where “enough” and “as possible” are defined with reference to a specific com-  
843 municative or cognitive context). Optimising for producibility inevitably means introducing  
844 some ambiguity, but as pointed out by Piantadosi et al. (2012), ambiguity is actually a hallmark  
845 of an efficient communication system since it allows for the reuse of words and sounds that  
846 are more easily produced, and doesn’t impede communication as long as there are other ways  
847 for the comprehender to overcome the ambiguity. In our experiment, for example, participants  
848 could overcome the ambiguity of the HND words during Matcher trials either by adopting a  
849 very simple heuristic of probability matching their guesses to the relative frequencies of mean-  
850 ings in the world (since words are, *a priori*, more likely to refer to things we talk about more),  
851 or by establishing a shared code with their partner that would allow them to use probabilistic  
852 information from previous interactions to inform future ones.



853 While our study provides further evidence for the role of competing communicative pres-  
854 sures in driving language efficiency, our simulation of the pressures acting on language is un-  
855 doubtedly a simplification in a number of ways. Mostly notably, our experiment *simulates* the  
856 pressures involved in language use, rather than relying on them to emerge at scale in the lab.  
857 Most obviously, typing is not language production in the usual sense, and naturalistic compre-  
858 hension is not the same as image selection. Replicating this study in a more ecologically valid  
859 setting (i.e. with oral production and auditory comprehension tasks) is a logical next step for  
860 a few reasons. First, allowing pressures to emerge naturally could, in principle, provide more  
861 compelling evidence for a causal link between individual-level behaviour and population-level  
862 language trends like phonetic clustering. Second, there may be specific aspects of production  
863 effort that are not well-simulated by anything other than oral production. However, it seems  
864 likely that the difficulty associated with these tasks would still need to be artificially inflated —  
865 for example, through the use of highly phonotactically complex words, or environmental noise  
866 on transmission — to observe, in a brief experiment, the kinds of effects that otherwise accu-  
867 mulate only over much larger timescales. The benefit of our design is that it allows us to easily  
868 manipulate task difficulty in a way that affects all participants roughly equally and does not  
869 depend on, for example, prior experience with pronouncing certain sounds, or auditory acuity.  
870 By doing so, we can get an idea of how small and potentially noisy effects at an individual-level  
871 might accumulate into large effects at a population-level (Kirby et al. 2007).

872 The present work also does not account for every possible mechanism that could play a role  
873 in shaping this aspect of lexicon structure. For example, it is possible that clustering emerges  
874 more strongly from new words entering the lexicon than from changes to or selection between  
875 existing words. Such a mechanism could also go some way to explaining the frequency effects  
876 we see in natural languages: if high-frequency words are a stronger attractor for the form  
877 of new words than low-frequency words, new coinages would tend to increase connectivity  
878 more in high-frequency components of the lexicon (see Dautriche et al. 2017a for a similar  
879 suggestion). Future work should investigate how different kinds of lexical evolution — from  
880 coinage to sound change and, ultimately, obsolescence — might differentially drive changes in  
881 the network properties of the lexicon.

882 Furthermore, neither our model nor our experiment account for the role of learning biases  
883 in shaping linguistic systems (Christiansen & Chater 2008; Culbertson 2012; Griffiths et al. 2008;  
884 Kalish et al. 2007; Kirby et al. 2008, 2014; Smith et al. 2003). There are several reasons to think  
885 that learning might play a role in driving increased clustering. For one, lexicons built from a  
886 smaller inventory of sound sequences are more compressible (Ferrer-i-Cancho et al. 2013), a  
887 property which reduces storage demands (Storkel & Maekawa 2005) and allows languages to  
888 pass more easily through the bottleneck imposed by repeated transmission to naive individuals  
889 (Kirby et al. 2015). Moreover, infants and children show clear preferences for words composed  
890 of the highest-frequency sound sequences in their target language (Altwater-Mackensen & Mani  
891 2013; Jusczyk et al. 1994; Ngon et al. 2013) and generally acquire such words earlier (Coady &

892 Aslin 2004; Gonzalez-Gomez et al. 2013; Storkel 2004). Since early-acquired words are also  
893 known to be more stably represented within a community’s language (Monaghan 2014), we  
894 might expect these developmental effects to show up in evolution. However, a learning-based  
895 account does not straightforwardly point to a clustering advantage (see e.g. Dautriche et al.  
896 2015; Jones & Brandt 2020; Storkel & Lee 2011; Storkel et al. 2006; Swingley & Aslin 2007).

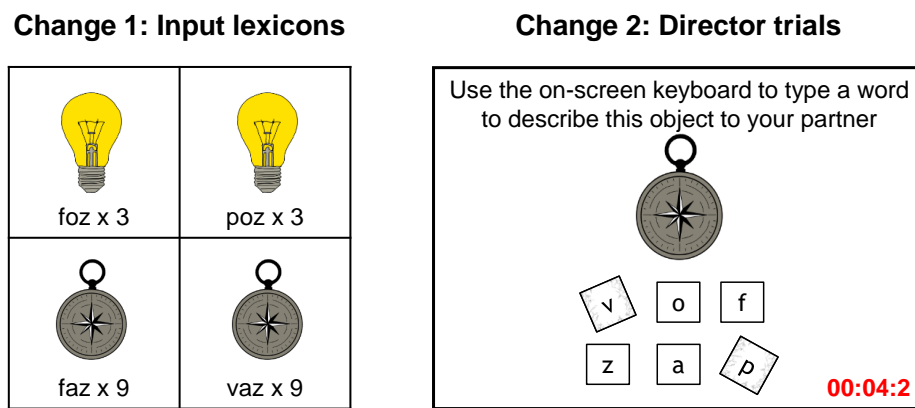
897 Finally, lexicons are not, contrary to the dominant view of “design features” (Hockett 1960),  
898 entirely arbitrary. Rather, languages are rife with sound symbolism and other systematic as-  
899 sociations between form and meaning (Bergen 2004; Blasi et al. 2016; Cuskley & Kirby 2013;  
900 Dautriche et al. 2017b; Dingemanse et al. 2015; Monaghan et al. 2007, 2014; Tamariz 2008). A  
901 detailed account of the role of semantics is missing from our study, since there is no level of  
902 analysis below the atomic meaning (e.g. we do not consider the meaning “lightbulb” to have  
903 any features that might be shared across other meanings, such as being man-made or having to  
904 do with electricity). However, while correlations between semantic similarity and wordform  
905 similarity are significantly higher than would be expected by chance, effect sizes are generally  
906 very small (Dautriche et al. 2017b; Monaghan et al. 2014), so this is unlikely to be the main  
907 driver of phonetic clustering in natural language lexicons. Another source of non-arbitrariness  
908 is shared etymology: words that come from the same historical root may consequently sound  
909 similar in their modern form (Klein 1971). We do not take into account any such structure in  
910 our models since we use randomly-generated lexicons as the input to the agents. However, we  
911 would argue that if the phonetic clustering that resulted from shared etymology was detrimen-  
912 tal for communication, it could be selected out through cultural evolution; the fact that natural  
913 language lexicons are observably more clustered than they could be suggests that this is not  
914 the case. Nonetheless, future work could look to incorporate notions of semantic and historic  
915 relatedness as a more conservative test of our hypotheses. Our model could also be adapted to  
916 test a variety of different starting conditions.

## 917 5 Conclusion

918 Corpus data shows that natural language lexicons are more phonetically clustered than would  
919 be expected, even accounting for phonotactic rules, morphology and sound symbolism. This  
920 study provides the first evidence that this organisational property of the lexicon can arise as  
921 a result of mechanisms operating at the level of individual language users and individual  
922 communication episodes. Specifically, we show that emergent lexicon structure balances the  
923 influence of competing functional pressures: a pressure for distinctiveness arising from com-  
924 prehension, and a pressure for reuse of forms arising from production. When only one of these  
925 pressures is present, the lexicons that emerge exhibit extreme levels of clustering or disper-  
926 sion unlike those seen in natural languages. This study adds to a growing body of evidence  
927 showing that, through a process of cultural evolution, languages are optimised for efficient  
928 communication.

## 929 A Follow-up experiment

930 As discussed in Section 3.3, there were a number of differences between the design of our  
931 experiment and the one it was modelled after (Kanwal et al. 2017). In particular, we felt  
932 that our manipulation of production effort may have been too subtle to push participants  
933 towards an efficient solution in the presence of a competing pressure for accuracy. We also  
934 wondered whether the unclear relationship between an object’s two alternative names may  
935 have changed participants’ representation of the language in a way that could influence their  
936 behaviour during communication. We therefore ran a follow-up experiment which attempted  
937 to address these two concerns, while maintaining the general design whereby words from the  
938 high-density neighbourhood were easier to produce but functionally ambiguous, while words  
939 from the low-density neighbourhood were harder to produce but easily distinguishable. The  
940 changes are summarised in Figure A.1 and described below.



**Figure A.1:** Summary of design changes in the follow-up experiment. Input lexicons were designed such that the HND words were clearly variants of the LND words, rather than completely different words (left). Director trials used an on-screen keyboard in which the keys required to form an LND word were faulty — indicated by their cracked texture and wonky placement — and sometimes produced an incorrect letter (right).

### 941 A.1 Materials

942 The meaning space consisted of the same two objects in the same frequency distribution as in  
943 the first experiment. The language consisted of four artificial CVC words: “foz” [fɑz] and “faz”  
944 [fæz] (the HND words) and “poz” [pɑz] and “vaz” [væz] (the LND words). Each LND word  
945 in this lexicon has a corresponding HND word (with which it shares the final two phonemes)  
946 which is derived by a known process of sound change: /p/ → /f/ (e.g. Foulkes 1997) and  
947 devoicing as in /v/ → /f/ (e.g. Velde et al. 1996).

### 948 A.2 Procedure

949 The procedure was identical as in the first experiment, except for the design of the difficult  
950 Director trials. On these trials, as before, the Director was presented with both word options

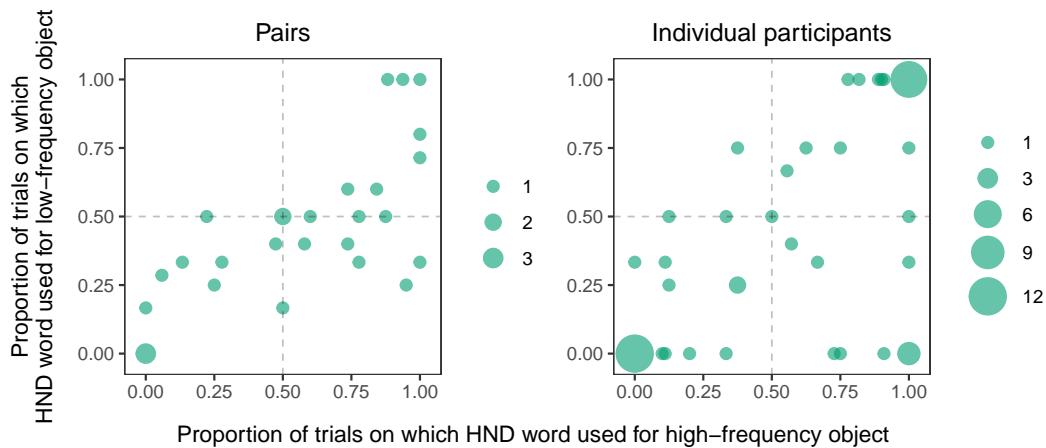
951 for the target object and asked to use an on-screen keyboard to type one of the words. However,  
952 the keyboard in this experiment contained only letters that were part of the artificial language,  
953 and all buttons were the same size and appeared in the same position from trial-to-trial (the  
954 configuration was randomised for each participant). Instead, the two keys required to make an  
955 LND word (“p” and “v”) were wonky (a random angle of  $\pm 10$ ,  $\pm 15$  or  $\pm 20$  degrees was chosen  
956 for each button on each trial), and had a cracked texture around the edge. At the start of each  
957 trial, a random integer between 1 and 3 was generated, representing the total number of times  
958 either of these keys would need to be pressed before the correct letter would appear; other  
959 times, a random letter that wasn’t part of the artificial language would appear. Every time one  
960 of these keys produced an incorrect letter, participants would need to press an “undo” button  
961 to get rid of that letter before trying again. Participants were told that some of the buttons  
962 were faulty and might need to be pressed a few times. As before, this design was intended to  
963 simulate the observation that less frequently-used phonemes are more error prone; however,  
964 we hoped that this manipulation would make the LND words more costly from participants’  
965 perspective than in the first experiment.

### 966 **A.3 Participants and exclusions**

967 Due to financial constraints, we were only able to run the critical COMBINED condition in this  
968 follow-up experiment. We used Prolific to recruit 72 participants who had not taken part in the  
969 first experiment. The experiment took around 25 minutes to complete in full (median time =  
970 22:44) for which participants were paid £4.25. One participant was prevented from proceeding  
971 to the communication game due to low accuracy on the pre-test and paid a reduced rate of £2.  
972 13 participants started but failed to complete the interaction phase and were paid a variable  
973 rate depending on how far they had got through the experiment. Two participants (one pair)  
974 completed the communication game and were paid the full rate, but their data was excluded  
975 from analysis because their completion time was more than 3 standard deviations above the  
976 median. After all exclusions and dropouts, we were left with 28 pairs: a total of 56 individual  
977 participants.

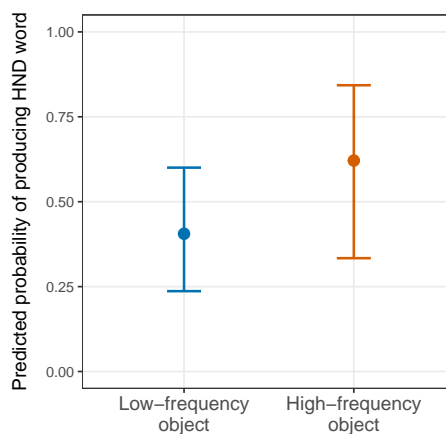
### 978 **A.4 Results**

979 Figure A.2 shows the proportion of Director trials on which the HND word was used for the  
980 high and low-frequency objects. As in the first experiment, a range of strategies are repre-  
981 sented, and it is not clear that most participants are converging on the predicted frequency  
982 trade-off. We fit a reduced version of the model described in Section 3.2.1; since we only ran  
983 one condition in this follow-up experiment, there is no longer a fixed effect of condition, nor an  
984 interaction between condition and frequency. The model had by-participant random intercepts  
985 and random slopes for object frequency, but failed to converge with the nested by-pair random  
986 effects structure used in Section 3.2.1. Model predictions are shown in Figure A.3. The model



**Figure A.2:** Proportion of trials on which the HND word was used for the high-frequency object vs. the proportion of trials on which it was used for the low-frequency object, by-pair (left) and by-participant (right). As in the first experiment, individual participants are more strongly clustered in the corners than pairs, suggesting that not all pairs are converging on the same language. As in the first experiment, a range of behaviours are represented, and it is not clear that a natural-language-like frequency trade-off (bottom right quadrant) is the most common strategy.

987 reveals a significant main effect of frequency, such that participants were more likely to use  
 988 the HND word to label the high-frequency object ( $\beta = 0.877, SE = 0.392, t = 2.237, p < 0.05$ ).  
 989 This result follows straightforwardly from the fact that there are many more participants below  
 990 than above the diagonal in Figure A.2 i.e. for participants who showed *any* effect of frequency,  
 991 it was generally the predicted one. In other words, very few participants adopted an anti-  
 992 efficient strategy of using the difficult-to-produce LND word for the high-frequency object and  
 993 the the easy-to-produce HND word for the low-frequency object.



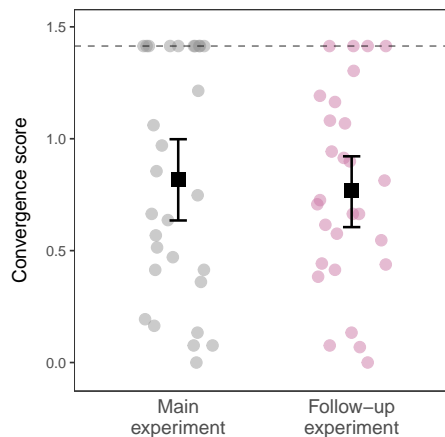
**Figure A.3:** Model predictions generated using the *ggeffects* package (Lüdtke 2018). The model predicts that participants were more likely to produce an HND word for the high-frequency object than for the low-frequency object.

994 However, if we consider the two experiments as a whole, it seems that the key difference  
 995 between them is not in the strength of the frequency effect. We pooled the data from the COM-  
 996 BINED condition of the first experiment with the data from this follow-up experiment, and

997 fit a mixed effects logistic regression model predicting HND word use as a function of object  
 998 frequency, experiment, and their interaction. Again, the model had by-participant random in-  
 999 tercepts and random slopes for object frequency, but failed to converge with a nested by-pair  
 1000 random effects structure. A full summary of model coefficients is given in Table A.1. The  
 1001 model reveals no overall effect of frequency, despite the significant effect of frequency when  
 1002 considering the follow-up experiment in isolation. However, there is also no interaction be-  
 1003 tween frequency and experiment; that is, there is no evidence that either experiment showed a  
 1004 clearer effect of frequency. Crucially, the model does show a significant main effect of experi-  
 1005 ment, such that the *overall* probability of producing an HND word was higher in the follow-up  
 1006 experiment. In other words, our changes to the experimental design succeeded in making the  
 1007 LND words more costly for participants to produce, but not in such a way that made the pre-  
 1008 dicted frequency trade-off emerge more robustly. Convergence between the two members of  
 1009 a pair (i.e. the extent to which they settled on a shared language) also did not improve in the  
 1010 follow-up experiment (Figure A.4).

**Table A.1:** Summary of fixed effects for a logistic mixed effects model with HND word use as the binary dependent variable and by-participant random effects for object frequency. The main experiment reported in Section 3 is labelled as 1a; the follow-up experiment is labelled as 1b. Coefficient estimates are on the log-odds scale.

	$\beta$	SE	$z$	$p$
intercept (object = infrequent, experiment = 1a)	-3.039	0.707	-4.300	<0.001
object = frequent	1.537	0.799	1.923	0.054
experiment = 1b	2.546	0.851	2.993	<0.01
object = frequent & experiment = 1b	-0.452	0.944	-0.479	0.632



**Figure A.4:** Convergence scores for the COMBINED condition of the main experiment (left) and the follow-up experiment (right). Convergence is very similar between the two experiments.

1011 Overall, the results of this follow-up experiment provide further evidence that, insofar as  
 1012 there is a relationship between frequency and clustering, it may be more subtle than the rela-  
 1013 tionship between frequency and word length probed by Kanwal et al. 2017's experiment.

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